University of Hawai'i Sea Grant College Program Center for Island Climate Adaptation and Policy

# Sea-Level Rise and Coastal Land Use in Hawaiʻi:

A Policy Tool Kit for State and Local Governments







## ICAP

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For further information, please contact us:

University of Hawai'i Sea Grant College Program School of Ocean and Earth Science and Technology 2525 Correa Road, HIG 238 Honolulu, HI 96822 (808) 956-7031 uhsgcomm@hawaii.edu

## Acknowledgements

University of Hawai'i at Mānoa William S. Richardson School of Law 2515 Dole Street, Rm. 207C Honolulu, HI 96822 (808) 956-2865 ICAP@hawaii.edu

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CCCL	Coastal Construction Control Line				
CELCP	NOAA Coastal and Estuarine Land Conservation Program				
CIP	Capital Improvement Program				
CRS	NFIP Community Rating System				
CZM	Hawaii Coastal Zone Management Program				
DLNR	State of Hawai'i Department of Land and Natural Resources				
EA	Environmental Assessment				
EIS	Environmental Impact Statement				
FEMA	Federal Emergency Management Administration				
FIMA	Flood Insurance and Mitigation Administration				
FIRM	Flood Insurance Rate Map				
HCDA	Hawaii Community Development Authority				
HCZMA	Hawaii Coastal Zone Management Act				
ICAP	Center for Island Climate Adaptation and Policy				
IPCC	United Nations Intergovernmental Panel on Climate Change				
NFIP	National Flood Insurance Program				
NOAA	National Oceanic and Atmospheric Administration				
OP	State of Hawai'i Office of Planning				
ORMP	Hawai'i Ocean Resources Management Plan				
PDM	Pre-Disaster Mitigation				
PDR	Purchase of Development Rights				
SLR	Sea-Level Rise				
SFHA	Special Flood Hazard Area				
SMA	Special Management Area				
SOEST	UH School of Ocean and Earth Science and Technology				
TDR	Transfer of Development Rights				
UH	University of Hawaiʻi				
UH Sea Grant	UH Sea Grant College Program				
USACE	United States Army Corps of Engineers				

## Executive Summary

Rising sea levels along Hawai'i's shorelines call for state and local governments to take action by means of a wide range of coastal land use policy tools designed to help Hawai'i successfully adapt to climate change. Hawai'i is expected to experience sea-level rise of one foot by 2050 and three feet by the end of the century. Sea-level rise of this magnitude poses significant economic, social, and environmental challenges requiring leadership and bold action by state and local governments, which are uniquely positioned to implement land use policy tools to shape Hawai'i's efforts to successfully adapt to rising sea levels in the coming decades.

The purpose of this Tool Kit is to identify and explain key land use policy tools for state and local government agencies and officials to facilitate leadership and action in support of sea-level rise adaptation in Hawai'i. Across the United States and around the world, governments are developing policy tools to proactively adapt to threats from rising sea levels. For example, the U.S. Army Corps of Engineers now requires consideration of sea-level rise impacts to coastal and estuarine zones in all phases of its civil works programs. In addition to incorporating projected sea-level rise in land use decisionmaking, experts also recommend that governments locate coastal development where it is protected from hazards, and ensure structures are resilient to flooding and other coastal hazards exacerbated by sea-level rise.

Accordingly, this Tool Kit surveys state adaptation plans, federal efforts, and other key sources to identify and discuss important land use policy tools for Hawai'i and suggests how these policies can be used by state and local governments to avoid or lessen the impacts of sea-level rise and related coastal hazards. Adaptation planning for sea-level rise and climate change is necessary to protect public health and safety, both now and in the future, and it is widely acknowledged that proactive planning can be more effective and less costly than responding reactively to climate change impacts as they occur. Because sea-level rise and climate change exacerbate existing coastal hazards, adapting now ultimately will lessen future economic, social, and environmental impacts of rising sea levels.

This Tool Kit first reviews scientific research showing that climate change is causing sea-level rise in the Hawaiian Islands and around the world. The physical and environmental impacts of rising sea levels – including coastal erosion, flooding, wave inundation, and rising water tables – are chronicled, as well as the economic and social impacts. The necessity for "adaptive management" in the face of uncertainty is noted, as is the important role to be played by state and local governments in implementing adaptation measures.

## Executive Summary

The Tool Kit next proposes three major actions that state and local governments should consider to move Hawai'i forward in its efforts to successfully adapt to sea-level rise:

- The governor or state legislature should direct state agencies to incorporate a sea-level rise benchmark of 1-foot-by-2050 and 3-feet-by-2100 in planning and permitting processes and decision-making, similar to the approach taken by the U.S. Army Corps of Engineers and to an executive order issued in California.
- Scientific research must be expanded. Policy tools addressing sea-level rise derive legitimacy from the strength of the supporting science. Funding and support for continued scientific research, ultimately to establish site-specific estimates of sea-level rise impacts, is imperative.
- A lead agency or task force, charged with initiating statewide adaptation planning to facilitate coordination and collaboration among various agencies and stakeholders, should be established. This entity will increase access to information, promote consistency among adaptation planning efforts, and create the statewide vision that is crucial to successful sealevel rise adaptation.

The Tool Kit also identifies and discusses specific land use policy tools Hawai'i state and local governments should consider in efforts to address sea-level rise. These policy tools are presented in four main categories: planning tools, regulatory tools, spending tools, and market-based tools.

- Planning tools include the Hawaii Coastal Zone Management Act, comprehensive plans, and pre-disaster mitigation plans.
- Regulatory tools include zoning and overlay zones, floodplain regulations, shoreline construction setbacks, coastal construction control lines, hard armoring, rebuilding restrictions, building codes and resilient design, subdivision approvals, cluster development, land development conditions, environmental review, rolling easement statutes, non-structural armoring, and buffer zones.
- Spending tools include capital improvement programs, land acquisitions, conservation easements, and rolling conservation easements.
- Market-based tools include mandatory real estate disclosures, tax incentives, and transfer of development rights programs.

Finally, to encourage action and make the Tool Kit ready for use by state and local governments, an action matrix is included as an appendix. The action matrix is organized according to the three major approaches to sea-level rise: accommodation, protection, and retreat. In addition to summarizing the policy tools and initial steps for accommodation, protection, and retreat, each action matrix identifies the lead agency and proposes a time frame for specific state and local government actions. The tools are ranked based on impact and feasibility, with the highest ranking policy tools discussed first.

### I. INTRODUCTION AND OVERVIEW

Rising sea levels along Hawai'i's shorelines call for state and local governments to take action by means of a wide range of coastal land use policy tools designed to help Hawai'i successfully adapt to climate change. Hawai'i is expected to experience sea-level rise of one foot by 2050 and three feet by the end of the century. Sea-level rise of this magnitude poses significant economic, social, and environmental challenges. Coastal highways, sewage lines, airport runways, and other public infrastructure may require costly relocation or rebuilding. Hawai'i's famed beaches – the lifeblood of local communities as well as the tourism industry – face previously unimagined diminishment. And unique plant and animal life that is unable to adapt may perish. These challenges require leadership and bold action, particularly by state and local governments which are uniquely positioned to implement land use policy tools to shape Hawai'i's efforts to successfully adapt to rising sea levels in the coming decades. Such leadership and action is required not only to address the myriad challenges posed by sea-level rise in Hawai'i, but also to implement measures that draw on the strengths of island communities to adapt to a changing world.

The purpose of this Tool Kit is to identify and discuss key land use policy tools, for use by Hawai'i state and local government agencies and officials, to facilitate leadership and action in support of successful adaptation to sea-level rise. Across the United States and around the world, governments are developing forward-looking policy tools to proactively adapt to the threats posed by sea-level rise. For example, the U.S. Army Corps of Engineers now requires consideration of sea-level rise impacts to coastal and estuarine zones in all phases of its civil works programs. In addition to incorporating projected sealevel rise in land use decision-making, experts recommend that governments locate coastal development where it is protected from hazards and ensure structures are resilient to flooding and other coastal hazards exacerbated by sea-level rise.

Accordingly, this Tool Kit surveys state adaptation plans, federal efforts, and other key sources to identify important land use policy tools for Hawai'i and suggests how these policies can be used by state and local governments to avoid or lessen the impacts of sea-level rise and related coastal hazards. Adaptation planning for sea-level rise and climate change is necessary to protect public health and safety, both now and in the future, and it is widely acknowledged that proactive planning can be more effective and less costly than responding reactively to climate change impacts as they occur. Because sea-level rise and climate exacerbate existing coastal hazards, adapting now ultimately will lessen future economic, social, and environmental impacts.

Waikīkī Beach. View toward Diamond Head. Credit: Dolan Eversole, NOAA Sea Grant Coastal Storms Program Coordinator, Pacific Region



In sections II and III, this Tool Kit presents the case for adaptation in Hawai'i. Scientific research demonstrating that climate change is causing sea-level rise in the islands and around the world is briefly reviewed. The physical and environmental impacts of rising sea levels in Hawai'i – including coastal erosion, flooding, wave inundation, and rising water tables – are chronicled, as well as the economic and social impacts. The necessity for "adaptive management" in the face of uncertainty is noted as is the important role to be played by state and local governments in implementing adaptation measures.

In section IV, the Tool Kit proposes three major actions that state and local governments should consider to move Hawai'i forward in its efforts to successfully adapt to sea-level rise:

- The governor or state legislature should direct state agencies to incorporate a sea-level rise benchmark of 1-foot-by-2050 and 3-feet-by-2100 in planning and permitting processes and decision-making, similar to the approach taken by the U.S. Army Corps of Engineers and to an executive order issued in California.
- Scientific research must be expanded. Policy tools addressing sea-level rise derive legitimacy from the strength of the supporting science. Funding and support for continued scientific research, ultimately to establish site-specific estimated of sea-level rise impacts, is imperative.
- A lead agency or task force, charged with initiating statewide adaptation planning to facilitate coordination and collaboration among various agencies and stakeholders, should be established. This entity will increase access to information, promote consistency among adaptation planning efforts, and create the statewide vision that is crucial to successful sea-level rise adaptation.

In sections V through VIII, the Tool Kit identifies and discusses specific land use policy tools Hawai'i state and local governments may consider in efforts to address sea-level rise. These policy tools are presented in four main categories: planning tools, regulatory tools, spending tools, and market-based tools.

- Planning tools include the Hawaii Coastal Zone Management Act, comprehensive plans, and predisaster mitigation plans.
- Regulatory tools include zoning and overlay zones, floodplain regulations, shoreline construction setbacks, coastal construction control lines, hard armoring, rebuilding restrictions, building codes and resilient design, subdivision approvals, cluster development, land development conditions, environmental review, rolling easement statutes, non-structural armoring, and buffer zones.
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### II. CLIMATE SCIENCE AND SEA-LEVEL RISE

Scientific research provides the foundation for effective action by state and local government decisionmakers on climate change and sea-level rise adaptation in Hawai'i. Current science documents the environmental and socio-economic impacts of climate change. Implementation of the planning, regulatory, spending and market-based policy tools discussed in this Tool Kit is supported by existing and ongoing scientific research.

#### A. The Changing Climate

The climate is changing in Hawai'i and across the globe. Air, surface, and ocean temperatures are rising. Glaciers and ice caps are melting. Widespread changes in precipitation, ocean salinity, wind patterns, and extreme weather events have been observed worldwide.<sup>1</sup> In the United States, average temperatures have risen more than two degrees Fahrenheit over the past fifty years and are expected to continue rising.<sup>2</sup> Heat waves and regional droughts have become more frequent and intense.<sup>3</sup> Hurricanes have become stronger in the Atlantic<sup>4</sup> and eastern Pacific<sup>5</sup> regions. Climate change is affecting the nation's water resources, energy supply, transportation, agriculture, ecosystems, and human health. These impacts will intensify under projected climate change.<sup>6</sup> In 2007, the United Nations Intergovernmental Panel on Climate Change ("IPCC") concluded in its fourth assessment of the predicted impacts of global climate change that most of the observed increase in global average temperatures since the mid-twentieth century is "very likely" (i.e., with a greater than 90% confidence level) due to the increase in greenhouse gas ("GHG") concentrations caused by human activity.<sup>7</sup>

Consistent with these changes, in the Hawaiian Islands air temperatures have risen rapidly in the past thirty years. Rainfall and stream flow have steadily declined, while rainfall during the heaviest downpours has intensified. Sea surface temperatures are rising and the ocean is acidifying. Scientists anticipate growing impacts on the state's water resources, forests, coastal communities, and marine ecology due to climate change.<sup>8</sup>

(Pennantfish and Pyramid and Milletseed butterflyfish) school in great numbers at Rapture Reef, French Frigate Shoals, Northwest Hawaiian Islands. Credit: © James D. Watt Trust/SeaPics.com

Colorful reef fish



#### B. Global and Hawai'i Sea-Level Rise

Sea levels in Hawai'i and elsewhere are rising due to climate change. The global mean sea level rose approximately six to eight inches over the past century, after at least 2,000 years of little change.<sup>9</sup> Since 1990, the rate of global sea-level rise has approximately doubled and will continue accelerating in response to global warming.<sup>10</sup> Scientists project that the global mean sea level may rise approximately 1 foot by mid-century<sup>11</sup> and 2.5 to 6.2 feet by the end of the century due to sea water thermal expansion and melting ice sheets.<sup>12</sup> Even if GHG concentrations were stabilized today, sea levels are projected to rise for hundreds of years.<sup>13</sup>



Although the global trend indicates a rise in the mean level of the oceans, there are marked regional differences that vary between -10 and +10 mm/year. Isolated variations in mean sea level occur mainly due to tradewind-driven currents. Credit: CLS/Cnes/Legos



Various global mean sea level estimates for the year 2100. The yellow sea-level rise range is being utilized in Hawai'i for sea-level rise mapping purposes. Credit: Dr. Charles Fletcher, University of Hawai'i (after S. Rahmstorf, New View on Sea Level **Rise**, Nature Reports Climate Change, Apr. 6, 2010)

In the Hawaiian Islands, sea levels rose six inches over the past century.<sup>14</sup> For various reasons, sea-level rise in the region around the main Hawaiian Islands lags behind the current acceleration in the global mean sea level.<sup>15</sup> In addition to this lag, it has been projected that "[m]ore plausible but still accelerated conditions [may] lead to total [global mean] sea-level rise by 2100 of about 0.8 meter (2.62 feet)."<sup>16</sup> Hawai'i sea-level rise therefore will likely fall in the lower projected range by 2100.

Consistent with this scientific understanding, this Tool Kit recommends that state and local decisionmakers begin planning for sea-level rise of approximately 1 foot by 2050 and 3 feet by 2100,<sup>17</sup> with reevaluation of this benchmark upon release of the Fifth Assessment Report of the IPCC in 2014.<sup>18</sup> This basic sea-level rise figure is referred to throughout this Tool Kit as the 1-foot-by-2050 and 3-feet-by-2100 benchmark.



#### C. Sea-Level Rise Impacts in Hawai'i

Sea-level rise can intensify the effects of coastal hazards and processes by making coastal areas more vulnerable to wave inundation, hurricanes, and tsunamis<sup>19</sup> and contributing to more frequent and extreme high water events.<sup>20</sup> Long-term sea-level rise will exacerbate chronic coastal erosion, flooding, and drainage problems.<sup>21</sup> Water table levels are closely tied to sea levels; as sea levels rise, groundwater may break through land surfaces under roads, buildings, and houses. High water tables also prevent rainwater from filtering into the ground. Compounded with high tides, heavy rains, and high surf, high water tables may lead to large-scale inundation of low-lying areas<sup>22</sup> including coastal roads and Hawai'i communities.<sup>23</sup> As sea levels rise and shorelines erode, coastal land may be permanently lost.<sup>24</sup>



Digital representation of the windward coastal plain near Kualoa, O'ahu. Areas in red are currently 1 meter (3.3 feet) above mean high tide. Low areas may be among the first to experience drainage problems as sea level rises. Credit: Mr. Matthew Barbee & Dr. Charles Fletcher, University of Hawai'i Coastal Geology Group

Sea-level rise also threatens coastal ecosystems and agriculture by intensifying saltwater intrusion into groundwater systems, wetlands, estuaries, and taro lo'i or field ponds.<sup>25</sup> Threatened and endangered species also are at risk, including those inhabiting the low-lying Northwestern Hawaiian Islands.<sup>26</sup> Although the islands host some of the most protected and sheltered reefs in the world, sea-level rise, increasing sea surface temperatures, and ocean acidification pose major threats to fragile reef ecosystems.<sup>27</sup>

The Hawaiian Islands have already experienced cumulative impacts from climate change and sea-level rise. In September 2003 Honolulu experienced the highest daily average sea level ever recorded, caused by sea-level rise, seasonal heating, high tide, and ocean circulation.<sup>28</sup> Extreme high tides presently cause



Nesting sites of sea birds and sea turtles are threatened by rising sea levels in the Northwestern Hawaiian Islands. Credit: Jennifer Barrett, UH Sea Grant Waikīkī Coastal Coordinator Flooding in the Mapunapuna area of Honolulu due to heavy rains and high tides. The storm drain system, located below sea level, was retrofitted with one-way drains and the flooding was largely mitigated. Credit: D. Oda



drainage problems in developed areas where intensifying storm runoff and rising ocean waters intersect.<sup>29</sup> For example, until specialized one-way flow vents were recently installed, high tides occasionally caused the ocean to flow through the drainage system onto streets in the Mapunapuna industrial area in Honolulu.<sup>30</sup>

There is irrefutable evidence that global warming is real and occurring at an alarming rate. As the planet warms over the next fifty years, sea levels are rising, impacting our coastal areas. Hawai'i could also be struck by stronger and more frequent storms because of these changes. Homes, hotels, businesses, harbors and waterfront properties are increasingly at risk. We must aggressively address the impact of global warming and rising sea levels for our island state. Our state has some of the best scholars and researchers in the world housed at the University of Hawai'i. We must take advantage of their expertise and ensure that we are prepared to address the realities of climate change.

Hawai'i 2050 Sustainability Plan.<sup>31</sup>

In 2007, the Hawai'i Legislature acknowledged the impacts of climate change by passing major legislation, known as Act 234, to mitigate GHG emissions. Affirming that "climate change poses a serious threat to the economic well-being, public health, natural resources, and the environment of Hawaii,"<sup>32</sup> Act 234 specifically found the potential adverse effects of global warming include rising sea levels, which would result in displacement of businesses and residences and harm to the tourism industry. Other adverse effects identified by Act 234 include damage to marine ecosystems and the natural environment, extended drought and loss of soil moisture, an increase in the spread of infectious diseases, and the severity of storms and extreme weather events, and harm to agriculture, recreation, commercial fishing, and forestry.<sup>33</sup>

Sea-level rise will further burden Hawai'i's coastal infrastructure, including transportation assets and energy and wastewater delivery systems, some of which currently require billions of dollars in repairs and upgrading.<sup>34</sup> This is consistent with the U.S. Global Change Research Program estimate that sea-level rise of 0.5 meters (1.64 feet) by 2100 will cause an estimated \$23-170 billion in property damage to U.S. coastal properties.<sup>35</sup> The Federal Emergency Management Agency ("FEMA") has similarly concluded that sea-level rise of 1-foot by 2100 would increase expected losses associated with its National Flood Insurance Program ("NFIP") of \$150 million annually, while a 3-foot rise would increase expected losses by \$600 million annually.<sup>36</sup> Sea-level rise, heavier downpours, and additional storm activity are expected to increase the nation's floodplain by 40-45% over the next ninety years, thus expanding the NFIP beyond its current volume of 5.6 million policies valued at \$1.2 trillion.<sup>37</sup>

Hawai'i has suffered significant socio-economic losses from inundation and other coastal hazards. Continued climate change and sea-level rise is anticipated to exacerbate inundation-related losses.<sup>38</sup> Storms in late 2003 and early 2004 inundated many businesses with several feet of water. The December 7-8, 2003 storm alone caused damages estimated at \$20 million.<sup>39</sup> Additionally, the state's hurricane losses from 1957-1995 surpassed \$2.7 billion. Hurricane Iniki, the most powerful hurricane to hit the state, caused seven deaths, \$2 billion in damage, and required \$295 million in FEMA disaster relief in 1992.<sup>40</sup> And the March 11, 2011 tsunami damaged fifty-two businesses and twenty-six homes in Kailua-Kona and Kealakekua Bay in Hawai'i County, with losses estimated at \$2.5 million and \$11.1 million, respectively.<sup>41</sup>



Annual high tides, such as this one at Waikīkī Beach in 2009, will become more frequent as sea level rises. Credit: Chris Conger, University of Hawai'i Sea Grant College Program

In addition to infrastructure and inundation issues, sea-level rise threatens Hawai'i's tourism industry, which comprises 26% of the state's economy.<sup>42</sup> In 2006, tourism brought more than seven million visitors and generated more than \$12.4 billion for the state.<sup>43</sup> Waikīkī alone supports 11% of the state's civilian jobs, provides 12% of state and local tax revenues, and generates 46% of statewide tourism revenues.<sup>44</sup> The loss of Waikīkī beach risks forfeiting \$2 billion annually in overall visitor expenditures<sup>45</sup> and 6,352 hotel jobs.<sup>46</sup> Rising sea levels and increasing ocean temperatures also harm coral reef systems, which draw visitors from around the world.<sup>47</sup> Sea-level rise of 0.5 meters (1.64 feet) would also place Waikīkī and other critical areas the flood hazard zone.<sup>48</sup>



Access to Honolulu International Airport runways would be compromised with a 3-foot rise in sea level (left). A 3-foot rise in sea level also would impact stormwater drainage in the high-density Ala Moana commercial area (right). Credit: Mr. Matthew Barbee & Dr. Charles Fletcher, University of Hawai'i Coastal Geology Group

### III. SEA-LEVEL RISE ADAPTATION IN HAWAI'I

Effective state and local government leadership on climate adaptation will be aided by understanding the three related approaches of accommodation, protection, and retreat; embracing the necessity for "adaptive management" to deal with uncertainty; and appreciating the unique role of state and local governments in tackling climate change and sea-level rise in Hawai'i.

#### A. Accommodation, Protection, and Retreat: Approaches to Sea-Level Rise

This Tool Kit focuses on climate change adaptation rather than mitigation, or the parallel effort to reduce the GHG emissions that cause climate change.<sup>49</sup> Pursuant to Act 234, noted above, Hawai'i must reduce or mitigate GHG emissions that cause climate change to amounts at or below1990 levels by the year 2020.<sup>50</sup> Although governments throughout the world have adopted similar mitigation policies, the success of such policies remains uncertain.<sup>51</sup> Even if these policies succeed, historic emissions will continue to cause climate change, and adaption measures are necessary to address unavoidable impacts.<sup>52</sup> Drawing attention to adaptation may support mitigation efforts by highlighting the future consequences of climate change.<sup>53</sup> In short, both mitigation and adaptation measures should be implemented to decrease the risks associated with climate change.<sup>54</sup>

Unlike mitigation, the goal of climate change adaptation is to avoid, withstand, or take advantage of current and projected climate change by decreasing vulnerabilities and increasing resilience.<sup>55</sup> Adaptive responses can be achieved through planning and policy, in addition to technological, behavioral, and managerial measures.<sup>56</sup> Three basic approaches to sea-level rise adaptation have been identified:

- *Accommodation*. Adjustment of an existing system to changing natural conditions (e.g., strengthening flood-proofing regulations or expanding hazard zones).
- *Protection.* Hardening of a system in its existing location to withstand impacts from changing conditions (e.g., shoreline hardening such as seawalls and revetments).
- *Retreat*. Relocating existing structures to avoid impacts.<sup>57</sup>

Hawai'i stakeholders have acknowledged accommodation, protection, and retreat as basic responses to climate change. In 2010, ICAP conducted interviews with eighteen state government decision-makers and staff with coastal management responsibilities to better understand perceptions of the relationship between state agencies and sea-level rise. Interviewees recommended accommodation, with regard to shoreline planning and coastal hazard zones, by updating and amending flood and tsunami maps, shoreline construction setbacks, building codes, and zoning. Managed retreat was recommended for critical infrastructure near the shoreline. And protection was recommended for critical infrastructure that cannot be relocated and for coastal areas with existing seawalls.<sup>58</sup>

Effective, innovative adaptation approaches minimize public safety risks and impacts to critical infrastructure; maximize compatibility with and integration of natural processes; are resilient over a range of sea levels, potential flooding impacts and storm intensities; and are adaptively managed.

San Francisco Bay Conservation and Development Commission<sup>59</sup>

#### **B.** Challenges to Adaptation and Implementation

The ICAP interviewees identified four major obstacles to long-term sea-level rise adaptation planning:

- *Government and public resistance* to acknowledging sea-level rise (i.e., skepticism concerning human-caused climate change);
- *Limited effectiveness of resource management* to address a "slowly emerging disaster" (e.g., overlapping state and county regulatory jurisdictions, irregularities in the law, and political resistance and legal challenges);
- *Environmental and social justice concerns* (e.g., equitable allocation of protection measures for varying degrees and types of shoreline development); and
- *Government incentives* that shield property owners from the risks of their decisions (e.g., FEMA policies and flood insurance programs that incentivize rebuilding in vulnerable areas).<sup>60</sup>

Additional challenges include the tendency for the public and decision-makers to focus on near-term rather than long-term threats, lack of political leadership, the need for interagency cooperation, and resource scarcity. In addition, property owners are likely to resist vacating coastal properties and removing sea walls in response to enforcement of shoreline retreat policies. Variance, permitting, and enforcement decisions may trigger regulatory takings claims, as discussed in the ICAP publication *Climate Change and Regulatory Takings in Coastal Communities*.<sup>61</sup>

Despite these obstacles, sea-level rise and climate change adaptation and planning are necessary to protect public health and safety now and in the future. Proactive planning can be more effective and less costly than responding reactively to climate change impacts as they occur.<sup>62</sup> Advance planning can add value by reducing future risk and increasing future benefits. And because sea-level rise will amplify the effects of known coastal hazards, adaptation planning designed to address coastal hazards will offer immediate benefits.<sup>63</sup> This "no regrets" approach, which offers both current and future benefits, is recommended to overcome challenges to the implementation of adaptation measures.

#### C. Uncertainty and the Need for Adaptive Management and Planning

Like government agencies elsewhere, Hawai'i decision-makers face uncertainty in planning for sea-level rise and climate change. Uncertainties include the timing and extent of impacts, refinements to scientific models and predictive tools, the relative effectiveness of adaptive measures, advances in adaptation technology, and the role of federal initiatives.<sup>64</sup> Effective decision-making in the face of uncertainty requires adaptive management and planning that explicitly allows for flexibility to accommodate new data, perceptions, and vulnerability assessments.<sup>65</sup> Accordingly, decision-makers adapt and modify the way they manage a problem as more information becomes available<sup>66</sup> and make similar adjustments throughout planning processes, which involve the identification and assessment of impacts, the development of goals and actions to minimize impacts, and the establishment of a process for implementing actions.<sup>67</sup> Using adaptive management and planning, decisions are made in an experimental context, acknowledging that some actions will fail and opportunities for more effective options will arise based upon new information and prior experience.<sup>68</sup>

Adaptive management is a cyclic, learning-oriented approach that is especially useful for complex environmental systems characterized by high levels of uncertainty about system processes and the potential for ecological, social and economic impacts from alternative management options. Effective adaptive management requires setting clear and measurable objectives, collecting data, reviewing current scientific observations, monitoring the results of policy implementation or management actions, and integrating this information into future actions.

San Francisco Bay Conservation and Development Commission<sup>69</sup>

#### D. The Role of State and Local Government Action

Hawai'i state and local governments are uniquely positioned to implement adaptation policies because they exercise authority over coastal resources through land use planning, zoning, subdivision controls, capital investment programs,<sup>70</sup> building codes, and transportation infrastructure.<sup>71</sup> Although the federal government has provided support and taken preliminary legal and regulatory steps, state and local governments remain at the front lines of climate change and sea-level rise adaptation. Each region in the country will experience impacts differently,<sup>72</sup> and many state and local governments have begun planning and enacting localized strategies for adapting to climate change. For example, fifteen states have either completed or are currently developing climate adaptation plans. Nine states have recommended developing adaptation plans in their climate action plans.<sup>73</sup> Recent California legislation proposed requiring public lands trustees to develop sea-level rise adaptation plans by July 1, 2013.<sup>74</sup> Based on the best-available climate science, Dr. Charles Fletcher of the University of Hawai'i ("UH") recommends that decision-makers utilize the sea-level rise benchmark of 1-foot-by-2050 and 3-feet-by-2100,<sup>75</sup> with the understanding that these two figures may increase based on further research. The ICAP interviewees agreed a benchmark of this nature could foster meaningful government action.<sup>76</sup> Some already incorporate such a benchmark in decision-making processes.<sup>77</sup> Although no statewide, comprehensive adaptation plan has been completed to date, efforts to explore development of adaptation plans are underway. A summary of selected federal and state initiatives is provided in Appendix B.

### IV. MAJOR RECOMMENDATIONS FOR GOVERNMENT ACTION

There is a strong consensus among stakeholders and decision-makers that effective sea-level rise adaptation planning in Hawai'i will require government leadership.<sup>78</sup> Legislation or executive orders authorizing such planning can help to ensure adequate resources, support, and legitimacy<sup>79</sup> while promoting statewide consistency among various planning efforts.<sup>80</sup> Such legal mandates will promote awareness and guide decision-making by acknowledging climate change vulnerabilities and prioritizing adaptation.<sup>81</sup> To provide essential leadership for statewide sea-level rise planning, this Tool Kit recommends legislation or executive orders:

- Directing state agencies to incorporate a *sea-level rise benchmark of 1-foot-by-2050 and 3-feet-by-2100* in planning and permitting processes and decision-making;
- Supporting expanded sea-level rise research; and
- Designating a *lead agency* or establishing a *task force* charged with initiating statewide climate change and sea-level rise adaptation planning.

Legal mandates in these areas will help to equip government decision-makers with the tools necessary for adapting to sea-level rise.

#### A. Mandated Government Agency Consideration of Sea-Level Rise

To spearhead statewide sea-level rise adaptation planning, the Governor should consider issuing an executive order adopting the proposed 1-foot-by-2050 and 3-feet-by-2100 benchmark and directing state agencies to consider multiple scenarios for sea-level rise. Such an executive order would be consistent with the U.S. Army Corps of Engineers approach to sea-level rise planning, as discussed in section VII.A. Scenarios could incorporate region-specific historical data as well as updated sea-level rise projections based upon best-available science.<sup>82</sup>

Although sea-level rise research at this time is unable to fully identify impacts on a site-specific basis, state agencies should adopt a proactive approach to encourage appropriate development pending further scientific research. A "best practices" approach, already adopted in California, would provide protection from coastal hazards in addition to sea-level rise. In 2008, Governor Schwarzenegger issued Executive Order S-13-08, which required California agencies to consider a range of sea-level rise scenarios for 2050 to 2100 to assess vulnerability, reduce expected risks, and increase resiliency.<sup>83</sup> The Executive Order also directs preparation of the first *California Sea-Level Rise Assessment Report* by 2012.<sup>84</sup> The report will project California, Oregon, and Washington sea-level rise, assess infrastructure impacts, and identify future research needs.<sup>85</sup>



#### B. Support for Expanded Sea-Level Rise Research

Additional funding is critical to expand research so that decision-makers and property owners understand the potential impacts of sea-level rise on a site-specific basis. Maps and models that identify risks and vulnerabilities on a smaller scale can facilitate government and private adaptation measures by identifying specific threats to properties and public health and safety.<sup>86</sup> The state should support scientific research for sea-level rise adaptation by:

- Providing funding for continued studies of *sea-level rise variability* in Hawai'i;
- Providing funding for *expanding the scope of risk and vulnerability assessments* to account for all low-lying and coastal areas throughout the state;
- Researching other federal funding and partnership opportunities; and
- Developing an *outreach program* for communicating sea-level rise research to decisionmakers and the public.

Adaptation measures rely on scientific research into two major areas, sea-level rise variability and sea-level rise impacts and risks.<sup>87</sup> Selected Hawai'i research efforts in both areas are summarized in Appendix C.

Sea level rise and coastal erosion could threaten the coastal built environment and significantly increase loss of beaches, coastal ecosystems, and buildings. Tourism resources along the beach could be reduced and this would impact the economy. More data and assessment are needed to understand the full reach of the impacts from climate change.

State of Hawai'i Multi-Hazard Mitigation Plan 2010 Update<sup>88</sup>

#### C. Sea-Level Rise Adaptation Lead Agency or Task Force

Designating a lead agency or establishing a state interagency task force charged with initiating statewide sea-level rise adaptation planning is recommended to strengthen adaptation efforts by facilitating coordination and collaboration among various agencies and stakeholders. This also would increase access to best-available resources and information<sup>89</sup> and promote consistency among adaptation planning efforts.<sup>90</sup> Responsibilities could include creating a vision for statewide sea-level rise adaptation, assessing vulnerabilities and impacts, identifying gaps in data and information, establishing planning and research goals, developing recommendations and implementation actions, and updating assumptions, benchmarks, and plans as climate change and sea-level rise data become more robust.<sup>91</sup> As a more specific example, the agency or task force could assist with evaluating flood hazards for planned light rail construction sites.<sup>92</sup>

Several states have designated lead agencies or established task forces for developing climate change adaptation strategies and plans, many of which address sea-level rise.<sup>93</sup> For example, in 2007 the New York legislature created a sea-level rise task force within the Department of Environmental Conservation. The task force, comprised of sixteen members from various sectors of state, county, and city government, was charged with developing a report to assess sea-level rise impacts and provide adaptation recommendations regarding a variety of factors, including coastline development and hardening, post-disaster recovery, natural habitats and ecosystems, drinking water supplies, and regulatory and statutory alterations.<sup>94</sup>

### V. PLANNING TOOLS

Consistent with the foregoing major recommendations for government action, this Tool Kit next provides a summary overview of selected land use-related policy tools for consideration by state and local government decision-makers in addressing sea-level rise. In addition to the planning tools discussed in this section, policy tools under the headings of Regulatory Tools, Spending Tools, and Market-Based Tools are also surveyed. The discussion of each policy tool includes a basic description of the tool, recommendations for future action, and a brief discussion of potential barriers to implementing the tool. Under each major section (Planning, Regulatory, Spending, and Market-Based), the tools are ranked based on impact and feasibility, with the highest ranking policy tools discussed first.

#### A. Hawaii Coastal Zone Management Act

The Hawaii Coastal Zone Management Act ("HCZMA"), codified in Chapter 205A of the Hawaii Revised Statutes, is an important planning tool for regulating development and land use within the coastal zone. The HCZMA is implemented through a "networked/local" coastal program under which State of Hawai'i Office of Planning ("OP") serves as the state's designated lead agency and coordinates coastal zone activities statewide, and county permitting authorities administer the special management area ("SMA") permit system.<sup>95</sup> State and county agencies must adopt and enforce rules that comply with HCZMA objectives and policies.<sup>96</sup> These policies relate to ten areas: recreational resources, historic resources, scenic and open space resources, coastal ecosystems, economic uses, coastal hazards, managing development, public participation, beach protection, and marine resources.<sup>97</sup> Counties must adopt similar guidelines for regulating development within the SMA.<sup>98</sup> Under the HCZMA, counties establish SMA boundaries and setback lines and exercise authority over permits and variances in the SMA.<sup>99</sup>

Hawaiian monk seal. Credit: © James D. Watt Trust/ SeaPics.com

#### 1. Recommendations and Next Steps

The HCZMA's codified objectives and policies may provide a sufficient basis for climate adaptation measures such as amending shoreline construction setbacks (discussed in section VI.C of this Tool Kit), implementing coastal construction control lines ("CCCLs") (section VI.D) and regulating hard armoring and non-structural armoring (sections VI.E and VI.M). For example, the HCZMA objective to "[r]educe hazard to life and property from tsunami, storm waves, stream flooding, erosion, and pollution"<sup>100</sup> requires government to:

- *Develop and communicate adequate information* about storm wave, tsunami, flood, erosion, subsidence, and point and nonpoint source pollution hazards;
- *Control development* in areas subject to storm wave, tsunami, flood, erosion, subsidence, and point and nonpoint source pollution hazards;
- Ensure that developments *comply with requirements of the Federal Flood Insurance Program*; and
- Prevent coastal flooding from inland projects.<sup>101</sup>

Because sea-level rise will exacerbate the effects of many coastal hazards,<sup>102</sup> this language can serve to justify decision-making on permits, approvals, and variances that reduces threats to life and property. Although its current language is generally supportive, the HCZMA should be amended to more explicitly mandate sea-level rise adaptation by:

- Explicitly *including sea-level rise in the list of coastal hazards* detailed in HCZMA objectives and policies;
- Incorporating *a new set of objectives and policies* that specify goals for accommodation, protection, and/or retreat in response to climate change and sea-level rise;
- Implementing *development restrictions* related to climate change and sea-level rise;

- Requiring more *restrictive conditions to be imposed on variances and SMA permits or modifying laws and rules* to make variances and permits more difficult to obtain; and
- Adding a new part to the HCZMA regarding climate change and sea-level rise that details objectives, policies, priorities, and implementation strategies for adaptation.



Variances to the SMA rules are often allowed under emergency conditions. Credit: Andrew Bohlander, UH Sea Grant Shoreline Specialist

Sea level rise in this century is a scientifically documented fact. Our shoreline is suffering from its effects today. It must be accepted that regardless of attempts to forestall the process, the Atlantic Ocean, as a result of sea level rise and periodic storms, is ultimately going to force those who have built too near the beachfront to retreat. . . . [T]he longrange public good is the same as the long-range private good. If the dry sand beaches of this State disappear because of the failure of its people and governmental natural resource managers to protect the beach/dune system, future generations will never have the opportunity to use and enjoy this valuable resource.

South Carolina Code of Regulations<sup>103</sup>

Under the **South Carolina Coastal Zone Management Act**, the Department of Health and Environmental Control implemented a forty-year retreat policy to adapt to erosion caused by sea-level rise and periodic storms.<sup>104</sup> The policy rejects the use of erosion control devices, adopts retreat and renourishment as the basic approach towards preserving and restoring the state's beaches, and designates a baseline and setback line on all oceanfront properties. It also mandates that the Department of Health and Environmental Control require property owners to move new construction and reconstruction as far landward as possible, to limit the size of coastal structures, and to seek innovative ways to ameliorate the effects of beach erosion.<sup>105</sup> The **Rhode Island Coastal Resources Management Council**, which is statutorily enabled to manage and plan for the preservation of the state's coastal resources,<sup>106</sup> added a **"Climate Change and Sea Level Rise"** section to its **Coastal Resources Management Program** in 2008.<sup>107</sup> The section adopts three policies for sea-level rise and climate change adaptation. First, the council will review its policies, plans, and regulations to proactively plan for sea-level rise and climate change. Second, the council states that the purposes of the policies are to preserve, protect, and where possible, restore coastal resources through coordinated long-range planning. Third, the council's planning and management will accommodate a base rate of 3- to 5-foot rise in sea level by 2100 into the siting, design, and implementation of public and private coastal activities. The policy also recognizes that the lower the sea-level rise estimate used, the greater the risk that adaptation efforts will prove inadequate. While employing practices related to adaptive management and planning, the council will take into account different risk tolerances for differing types of coastal activities and will revisit this base rate with new scientific evidence.<sup>108</sup>

Under the Maine Coastal Zone Management Act, the legislature adopted a policy for all state and local agencies to "[d]iscourage growth and new development in coastal areas where, because of coastal storms, flooding, landslides, or sea-level rise, it is hazardous to human safety."<sup>109</sup> Under the Coastal Sand Dune Rules, "A project may not be permitted if, within 100 years, the property may reasonably be expected to be eroded as a result of changes to the shoreline such that the project is likely to be severely damaged after allowing for a two foot rise in sea level over 100 years," excluding beach nourishment and sand dune restoration projects.<sup>110</sup>

And the **County of Duck, North Carolina, Coastal Areas Management Act Core Land Use Plan** states the following objectives that relate to sea-level rise adaptation: "Develop policies that minimize threats to life, property, and natural resources resulting from development located in or adjacent to hazard areas, such as those subject to erosion, high winds, storm surge, flooding, or sea level rise"; "[d]evelop location, density, and intensity criteria for new, existing development and redevelopment including public facilities and infrastructure so that they can better avoid or withstand natural hazards"; and to "[d]evelop, adopt, and enforce, and amend as necessary, a shoreline overlay zoning district to address development, redevelopment, and uses along shorelines and in adjacent waters."<sup>111</sup> County ordinances mandate a short-term moratorium on post-disaster reconstruction and enable a special reconstruction task force to identify opportunities to mitigate future damages through the management of reconstruction.<sup>112</sup>

#### 2. Overcoming Barriers

The HCZMA requires state and county agencies to consider the need for economic development when implementing objectives and policies.<sup>113</sup> Although economic development is an important policy objective, if economic interests unduly influence the decision-making process with regard to permits, variances, and other land use decisions, the public safety and environmental protection functions of the HCZMA may lack sufficient priority. To address sea-level rise concerns, the HCZMA should be interpreted and enforced

in a manner that achieves the law's economic and environmental policy objectives by acknowledging the threats posed by sea-level rise and fostering support for a hazard-based approach to planning and land use decision-making. In addition, landowners often have strong financial and emotional ties to coastal properties. Regulatory takings claims against state and local governments are possible. Guidance from the HCZMA, executive orders, laws, ordinances, plans, and the best-available science will further strengthen use of the HCZMA by state and local governments to promote adaptation in a manner that withstands potential legal challenges.

Finally, in contrast to Hawai'i's "networked/local" coastal program, certain states such as South Carolina and Rhode Island operate under "direct" coastal programs in which designated state agencies have more authority to regulate activities within the coastal zone.<sup>114</sup> The relatively diffuse authority in Hawai'i may hamper the ability to implement sea-level rise-related HCZMA policies and regulations.



Taro fields are typically low-lying and susceptible to sea-level rise. Credit: UH Sea Grant

#### **B.** Comprehensive Plans

Comprehensive statewide and county plans can play an important role in shaping Hawai'i's response to sea-level rise. Hawai'i became the first state in the nation to enact a statewide comprehensive land use plan when the Legislature passed the Hawaii State Planning Act in 1978.<sup>115</sup> The Hawaii State Plan provides broad goals, objectives, policies, and priority guidelines for directing future long-range development.<sup>116</sup> It details specific requirements for state functional plans, county general plans, and development plans, each of which must conform to the State Plan.<sup>117</sup> Funding appropriations, capital improvements, budgetary reviews and allocations, state land use decisions, and state programs all must conform to the State Plan and functional plans.<sup>118</sup>

Guided by the State Plan, each county has adopted a general plan with varying degrees of authority over county agencies, planning, and ordinances. Counties are also governed by specific development plans (City and County of Honolulu and Kaua'i County),<sup>119</sup> sustainable communities plans (City and County of Honolulu),<sup>120</sup> community plans (Maui County),<sup>121</sup> and community development plans (Hawai'i County).<sup>122</sup>

Although sea-level rise is not specifically called out in Hawai'i's statewide plan, sustainability is a priority. Pursuant to Act 181 (signed into law in July 2011 and the first substantive change to the State Plan since the 1990s),<sup>123</sup> sustainability is one of six priority guidelines,<sup>124</sup> the goal of which is to achieve:

"[r]espect of the culture, character, beauty, and history of the State's island communities; [s]triking a balance between economic, social, and environmental priorities; and [m]eeting the needs of the present without compromising the ability of future generations to meet their own needs."<sup>125</sup>

Sustainability joins the ranks of the five preexisting priority guidelines: economic development, population growth and land resource management, affordable housing, crime and criminal justice, and quality education.<sup>126</sup> Priority guidelines such as sustainability "shall take precedence when addressing areas of statewide concern,"<sup>127</sup> and provide guidance for state programs,<sup>128</sup> county general plans,<sup>129</sup> and state functional plans.<sup>130</sup>

#### 1. Recommendations and Next Steps

Although neither the State Plan nor the 2011 sustainability amendments directly address sea-level rise, they provide a basis for implementing climate change and sea-level rise adaptation. For example, the State Plan directs agencies to "[r]educe the threat to life and property from erosion, flooding, tsunamis, hurricanes, volcanic eruptions, and other natural or man-induced hazards and disasters."<sup>131</sup> Because sea-level rise will exacerbate the effects of several of these hazards,<sup>132</sup> reducing threats to life and property from sea-level rise could be considered an inherent State Plan objective requiring varying degrees of conformance among state and county programs and plans. The following recently enacted priority guidelines for sustainability further support climate adaptation:

- Encouraging balanced economic, social, community, and *environmental priorities*;
- Encouraging planning that respects and promotes *living within the natural resources and limits* of the State;
- Promoting a *diversified and dynamic economy*;
- Encouraging respect for the host culture;
- Promoting decisions based upon *meeting the needs of the present without comprising the needs of future generations*;
- Considering the principles of the *ahupua* 'a system (a traditional Hawaiian land use division extending from the uplands to the ocean); and
- Emphasizing that *everyone*, including individuals, families, communities, businesses, and government, has the *responsibility for achieving a sustainable Hawai*'*i*.<sup>133</sup>

Like the HCZMA, the Hawai'i Legislature should consider amending state planning statutes to more directly address climate change and sea-level rise adaptation. An existing priority guideline under the State Plan is to "[d]irect future urban development away from critical environmental areas or impose mitigating measures so that negative impacts on the environment would be minimized."<sup>134</sup> This guideline dovetails with sea-level rise concerns and could be modified accordingly. The Hawai'i Legislature also could consider adding new climate change and sea-level rise priority guidelines to the State Plan.

Counties could similarly amend general plans to more explicitly address sea-level rise. County general plans are relatively more detailed and region-specific and in some instances may be updated more frequently. Similarly, redevelopment plans for certain agencies could be required to consider development risks from sea-level rise. For example, the Hawaii Community Development Authority ("HCDA") is subject to tailored land use regulations and has jurisdiction over coastal properties impacted by sea-level rise.<sup>135</sup>

Conservative estimates suggest the sea level may rise up to 1 meter by 2100. Projected sea-level rise over the next 20 years would increase at an exponential rate and would impact all coastlines, most severely affecting Ma'alaea, North Kihei, Lahaina, Ka'anapali, Kahului, and Kaunakakai. Prudent planning will consider projected sea-level rise as a variable in planning for each island. . . . Unlike most communities in the United States, the boundaries of Maui, Lana'i, and Moloka'i are finite and cannot be enlarged through annexation. In fact, because of sea-level rise, it is probable that some of the County's land will not be accessible in the coming years. The coastlines, even as they change, will remain boundaries, and all land uses today and for future generations must be accommodated within these natural parameters. Therefore, it is of utmost importance to carefully consider choices regarding land use and the location of future development and how the County uses its limited resources.

Maui 2030 General Plan<sup>136</sup>

On October 6, 2011, the San Francisco Bay Conservation and Development Commission ("Commission") added a new part to the San Francisco Bay Plan that includes findings and policies directly addressing climate change. The new policies apply to areas within 100 feet of the shoreline, salt ponds, wetlands, and certain waterways and include the following provisions:

- When planning shoreline areas or designing larger shoreline projects, a risk assessment should be prepared by a qualified engineer and should be based on the estimated 100-year flood elevation that takes into account the best estimates of future sea-level rise, current flood protection, and planned flood protection that will be funded and constructed when needed to provide protection for the proposed project or shoreline area. A range of sea level rise projections for mid-century and end of century based on the best scientific data available should be used in the risk assessment.
- Within areas that a risk assessment determines are vulnerable to future shoreline flooding that threatens public safety, all projects—other than repairs of existing facilities, small projects that do not increase risks to public safety, interim projects and infill projects within existing urbanized areas—should be designed to be resilient to a mid-century sea level rise projection. If it is likely the project will remain in place longer than mid-century, an adaptive management plan should be developed to address the long-term impacts that will arise based on projected sea level rise at the end of the century.
- Undeveloped areas that are both vulnerable to future flooding and currently sustain significant habitats or species, or possess conditions that make the areas especially suitable for ecosystem enhancement, should be given special consideration for preservation and habitat enhancement.

- The Commission, in collaboration with other regional, state and federal agencies, local governments, and the general public, should formulate a regional sea level rise adaptation strategy for protecting critical developed shoreline areas and natural ecosystems, enhancing the resilience of Bay and shoreline systems and increasing their adaptive capacity.
- Until a regional sea level rise adaptation strategy can be completed, the Commission should evaluate each project proposed in vulnerable areas on a case-by-case basis to determine the project's public benefits, resilience to flooding, and capacity to adapt to climate change impacts.<sup>137</sup>

**Maui County** incorporated sea-level rise adaptation into its county general plan in 2010. The plan acknowledges sea-level rise projections by the UH Coastal Geology Group.<sup>138</sup> Accordingly, under the objective to "[i]mprove land use management and implement a directed growth strategy," the plan includes policies to "[r]estrict development in areas that are prone to natural hazards, disasters, or sea-level rise" and to "[d]iscourage new entitlements for residential, resort, or commercial development along the shoreline."<sup>139</sup>

The draft version of the **Maui Island Plan**, an island-wide land use strategy,<sup>140</sup> similarly acknowledges threats posed by sea-level rise and includes specific actions related to adaptation. For example, under the objective to provide greater protection to life and property, located under the natural hazards element, the plan includes the following:

- Develop plans and/or incentives to . . . [e]ncourage rebuilding inland as an alternative to shoreline hardening; [s]treamline the construction of structures that are moved substantially inland; [e]ncourage the relocation of existing structures so they are away from shoreline areas; and [r]elocate vulnerable coastal roads that are susceptible to destruction from natural hazards.
- Periodically update shoreline rules for the Maui Planning Commission to provide safe setbacks from the shorelines and incorporate best management practices.
- Following each coastal erosion disaster, identify and document the new shoreline position to be used for reviewing future development.
- Update coastal planning requirements to factor in incremental effects of rising sea levels.<sup>141</sup>

As of this writing, the Maui Island Plan was undergoing county council review.<sup>142</sup> If approved, the plan will become part of the county general plan. In Maui County, all agencies must comply with the general plan, and all community plans, zoning ordinances, subdivision ordinances, and agency administrative actions must conform to the general plan. Additionally, budgets and capital improvement programs must implement the general plan to the extent practicable.<sup>143</sup> Therefore, the countywide policy plan and the Maui Island Plan (if adopted) will require planning efforts, ordinances, agencies, and programs throughout the state to engage in planning for sealevel rise and related coastal hazards.

#### 2. Overcoming Barriers

Even if sea-level rise and climate change adaptation language are incorporated into the State Plan, conformance with such plans may be "relatively easy to achieve – and nearly impossible to contest."<sup>144</sup> The statute defines conformance as "the weighing of the overall theme, goals, and objectives and policies of this chapter and a determination that an action, decision, rule or state program is consistent with the overall theme, and fulfills one or more of the goals, objectives, or policies of this chapter."<sup>145</sup> Thus, if a functional plan or state program is consistent with another state objective, for example, promoting Hawai'i's visitor industry,<sup>142</sup> the plan or program could be found to be in compliance with the State Plan even if it conflicts with sea-level rise adaptation objectives or priority guidelines. And county general plans are required to consider, rather than comply with, these objectives.

Guidelines are also advisory rather than mandatory. Under the statute, "guideline" means "a stated course of action which is desirable and should be followed unless a determination is made that it is not the most desirable in a particular case; thus, a guideline may be deviated from without penalty or sanction."<sup>147</sup> Even though the priority sustainability guidelines should "take precedence when addressing areas of statewide concern,"<sup>148</sup> plans and programs may deviate from these guidelines. Thus, sea-level rise plan amendments could justify, but not necessarily compel, adaptation planning. The greatest impact may lie in comprehensive planning amendments at the county level, especially if county planning efforts are supported by adoption of the three major recommendations discussed in section IV.

#### C. Pre-Disaster Mitigation Plans

Pre-disaster mitigation planning, like the HCZMA and comprehensive planning, may be an effective tool to address climate change and sea-level rise in Hawai'i. Under the Pre-Disaster Mitigation ("PDM") program, FEMA provides funding to states, territories, Indian tribal governments, communities, and universities for pre-disaster hazard mitigation planning and projects. The purpose of the PDM program is to reduce overall risks to the population and structures and minimize the need for relief funding triggered by disaster declarations.<sup>149</sup> PDM plans provide a framework to lessen or avoid damage from natural disasters such as floods and hurricanes.<sup>150</sup> A critical aspect is that without PDM plans in place, eligibility for FEMA disaster relief funding is limited. FEMA awards PDM grants on a competitive basis.<sup>151</sup> The State of Hawai'i and the four counties have participated in the PDM program in various capacities.<sup>152</sup>



The FEMA flood zone in some areas on the north shore of Kaua'i requires structures to be built at a minimum elevation. Credit: Dennis Hwang

Selected PDM Program Plans and Projects						
Grant Title	Date	Federal Share	Match			
County of Kaua'i – Development of New Wind Design Code Provisions and Risk Assessment Plan	8/12/2005	\$135,000	\$47,480			
University of Hawai'i System Multi-Hazard Mitigation Project	9/3/2005	\$261,821	\$87,275			
Floodproofing of the Lower Hamakua Ditch, Island of Hawai'i	9/29/2006	\$2,999,943	\$999,981			
Critical Infrastructure Geographic Information System (GIS) Data Assessment	8/16/2007	\$206,250	\$68,750			
Hawai'i County All Hazard Assessment of Critical Facilities	8/16/2007	\$255,000	\$85,000			
City and County of Honolulu Multi-Hazard Pre- Disaster Mitigation Plan Update	6/30/2008	\$105,000	\$35,000			
County of Maui Multi-Hazard Mitigation Plan	7/11/2008	\$300,000	\$100,000			
County of Hawai'i Multi-Hazard Mitigation Plan Update	7/11/2008	\$361,448	\$128,003			
State of Hawai'i Multi-Hazard Mitigation Plan Update	6/4/2010	\$127,500	\$42,500			

Data from State of Hawai'i Multi-Hazard Mitigation Plan 2010 Update.<sup>153</sup>

#### 1. Recommendations and Next Steps

When updating and developing PDM plans and projects, state and county civil defense authorities should consider incorporating the 1-foot-by-2050 and 3-feet-by-2100 sea-level rise benchmark to better protect individuals and property from coastal hazards and disasters. Decision-makers could also seek FEMA funding to develop PDM projects for areas and infrastructure particularly vulnerable to amplified hazards resulting from sea-level rise and climate change.

The updated 2010 version of the **County of Maui Multi-Hazard Mitigation Plan** acknowledges sea-level rise as one of several contributors to long-term coastal erosion, which "threatens developed areas with potential loss of life and millions of dollars in property damage" to waterfront homes and public infrastructure.<sup>154</sup> To mitigate these losses, the plan describes future initiatives for erosion rate mapping on the islands of Lana'i and Moloka'i and for requiring real estate disclosures for erosion hazard risks, dune nourishment, and beach maintenance.<sup>155</sup> Adopting the plan qualifies Maui County for enhanced benefits under the NFIP, including discounts on insurance premiums and credits for public education and awareness, in addition to FEMA grants for pre-disaster and post-disaster assistance.<sup>156</sup> The **Maui County Office of Civil Defense** is responsible for implementing the plan, which must be updated at least every five years. The **Maui Island Plan** advocates implementation of both the current hazard mitigation plan and future updates.<sup>157</sup>

#### 2. Overcoming Barriers

Effective PDM plans and projects rely upon federal, state, and county cooperation and resources<sup>158</sup> as well as best-available scientific data to support hazard and vulnerability assessments. Expanded sea-level rise research, as discussed in section IV.B, could provide relevant information for PDM planning and projects.

## VI. REGULATORY TOOLS

This Tool Kit next surveys a wide range of regulatory policies available to address climate change and sealevel rise. Like the preceding Planning Tools section, the tools are ranked based on impact and feasibility, with the highest ranking policy tools discussed first.

#### A. Zoning and Overlay Zones

Hawai'i state law grants counties zoning authority and the authority to implement general plans.<sup>159</sup> In the City and County of Honolulu, Maui County, and Hawai'i County, zoning must conform to county plans (although Kaua'i County plans do not constitute legal requirements for land use and development).<sup>160</sup> The Hawai'i Supreme Court has held that zoning "must bear a reasonable relation to, or be reasonably necessary for, the public health, safety, morals, or general welfare."<sup>161</sup> Zoning that is "clearly arbitrary and unreasonable, having no substantial relation to the public health, safety, morals, or general welfare" will be held invalid.<sup>162</sup>

Zoning ordinances vary among the counties to accommodate a range of needs, uses, development patterns, and characteristics distinctive to each island, region, and community. For example, in addition to establishing permitted uses, restrictions, and standards for development within designated zoning districts, the City and County of Honolulu's Land Use Ordinance also requires special permits for development within seven special design districts, each of which is subject to specific controls.<sup>163</sup> County codes also provide specifications and requirements for overlay zones, permitting, conditional zoning, conditional uses, variances, and nonconforming uses.<sup>164</sup>

#### 1. Recommendations and Next Steps

Each county should consider adopting a zoning regime to facilitate sea-level rise adaptation. This zoning regime could feature overlay zones in areas vulnerable to sea-level rise, with the stated purpose of promoting public health and safety. Erosion rates tied to sea-level rise and erosion studies from the UH Coastal Geology Group could provide the data necessary to implement such zones. The zones could regulate armoring, density, retrofitting, relocation, and preservation to accommodate a variety of adaptation goals. In the Georgetown Climate Center's *Adaptation Tool Kit: Sea-Level Rise & Coastal Land Use – How Governments Can Use Land-Use Practices to Adapt to Sea-Level Rise ("Georgetown Tool Kit"*), the author suggests four types of sea-level rise overlay zones:

- *Protection zones*. Areas with critical infrastructure and dense urban development, where the locality will permit coastal armoring. Local governments could require that non-structural hardening techniques be employed where feasible.
- *Accommodation zones*. Areas where local governments will limit the intensity and density of new development and require that structures be designed or retrofitted to be more resilient to flood impacts.
- *Retreat zones*. Areas where armoring will be prohibited and landowners are encouraged to relocate structures upland through tax incentives, land acquisitions, or conservation easement programs.
- *Preservation zones*. Areas where important ecosystems are designated for preservation and restoration to enhance important flood buffers, habitat, or public benefit.<sup>165</sup>

UH Sea Grant worked with South Maui volunteers to build a new elevated dune walkover in December 2010 at Maui's Kamaole III County Beach Park. Credit: Tara Miller Owens, UH Sea Grant



The **County of Tillamook, Oregon**, created a beach and sand dune overlay zone to implement a statewide goal, adopted in 1976, "[t]o conserve, protect, where appropriate develop, and where appropriate restore the resources and benefits of coastal beach and dune areas; and [t]o reduce the hazard to human life and property from natural or man-induced actions associated with these areas."<sup>166</sup> The ordinance categorizes areas located within the overlay zone into four groups: developed beachfront areas, foredune management areas, resource protection areas, and stabilized beach and dune areas. Varying degrees of conservation, protection, and restoration measures are permitted and restricted within each category.<sup>167</sup> Permits may be obtained for protective structures only in developed beachfront areas and foredune management areas, and only if the structures qualify for an enumerated exception. In effect, the county has implemented a zoning ordinance that promotes adaptation while fulfilling a goal that was established before sea-level rise was contemplated.

Similarly, the **Town of East Hampton**, New York, created a coastal erosion overlay district to protect the natural shoreline and coastal resources from flooding and erosion. The district is divided into four erosion zones based upon similar features, characteristics, and storm exposures.<sup>168</sup> Development, uses, and activities within the district are subject to restrictions and regulations that seek to minimize risk to human life and property as well as damage to natural buffers such as wetlands, beaches, bluffs, dunes, and vegetation.<sup>169</sup> Permits for erosion control structures may be granted only in one particular zone, in which such structures are the only remaining protection from flooding and erosion.<sup>170</sup> Additionally, the ordinance allows for certain emergency activities within the overlay district that are "immediately necessary to protect the public health, safety, or welfare, or to protect publicly or privately owned buildings and structures from major structural damage."<sup>171</sup>

#### 2. Overcoming Barriers

Like similar measures, establishing sea-level rise overlay zones requires political will based on public education and outreach. Decision-makers will be challenged to develop general adaptation goals that at the same time accommodate unique circumstances, characteristics, and needs pertaining to specific areas and communities. A lead agency or task force, as discussed in section IV.C, could provide assessments and information to support this process. In some instances, landowners may seek variances and exemptions from sea-level rise overlay zones. New zoning ordinances should be drafted and enforced to ensure variances and exemptions are administered consistent with policy objectives.

#### **B.** Floodplain Regulations

The risk of increased flooding and coastal inundation from climate change and sea-level rise can be addressed by floodplain regulations. The Flood Insurance and Mitigation Administration ("FIMA"), a component of FEMA, administers the NFIP. The NFIP provides flood insurance, floodplain management, and flood mapping. To be eligible, local governments must adopt and enforce floodplain management ordinances that meet or exceed minimum NFIP criteria for regulating development in areas identified as special flood hazard areas ("SFHA").<sup>172</sup> SFHAs, commonly referred to as 100-year floodplains, are areas that have a 1% or greater chance of flooding in any given year.<sup>173</sup> FIMA identifies boundaries and water surface elevations for SFHAs as well as 500-year floodplains on flood insurance rate maps ("FIRM").<sup>174</sup>

All four counties in Hawai'i participate in the NFIP to qualify homeowners for federally subsidized flood insurance. Each has adopted floodplain ordinances to comply with NFIP requirements. The ordinances list building standards and permitted uses for a variety of flood hazard districts that are indicated on each county's respective FIRM. The ordinances also include provisions for permitting, variances, and nonconforming uses.<sup>175</sup>

Homeowners can qualify for discounts on flood insurance premiums and credits under the NFIP Community Rating System ("CRS") when counties adopt floodplain management regulations that are more stringent than NFIP minimum requirements. The CRS also provides credits for land acquisitions,



Inundaton at Keauhou Bay, Hawai'i during the September 2009 tsunami. Credit: State of Hawai'i Department of Land and Natural Resources relocation, flood-proofing, open space preservation, and other measures that reduce flood damages.<sup>176</sup> Hawai'i County's FIRM maps now incorporate results from the *Hawaiian Islands Coastal Hurricane Study*.<sup>177</sup> These updated maps may eventually require thousands of additional landowners to purchase flood insurance and meet more costly construction standards.<sup>178</sup> Because the county participates in the CRS, however, residents may qualify for discounted flood insurance.<sup>179</sup>

#### 1. Recommendations and Next Steps

The counties should consider amending floodplain regulations to achieve sea-level rise and climate change adaptation objectives. FIMA generates maps based upon historical data, a practice that assumes static climatic conditions.<sup>180</sup> Because sea-level rise will increase the frequency and geographic extent of flooding caused by storm surge, and because Hawai'i has been enduring more intense rainstorms due to climate change,<sup>181</sup> county decision-makers could adjust floodplain ordinances to account for these increases and more effectively fulfill the purposes of the NFIP.<sup>182</sup>

The counties should also consider imposing use restrictions on development in areas most vulnerable to flooding.<sup>183</sup> The City and County of Honolulu, for example, limits development in the flood hazard zone (the most hazardous area within the floodplain) to recreational, agricultural, and other non-residential uses.<sup>184</sup> Kaua'i County prohibits construction of schools, hospitals, nursing homes, and certain publicly-owned buildings within the tsunami inundation zone.<sup>185</sup> The counties could expand use restrictions in high-hazard areas that limit projects involving residential development, public expenditures, and critical infrastructure.<sup>186</sup>

In addition, the counties could strengthen restrictions in districts beyond those currently identified as most hazardous by FIMA, such as the flood fringe, coastal high hazard areas,<sup>187</sup> and the 500-year floodplain.<sup>188</sup> This would accommodate more frequent and extensive flooding not yet accounted for in FIRMs. On a project-specific basis, the counties could require developers to consider or incorporate specified degrees of sea-level rise for projects located within the floodplain.

The zoning ordinance for the **Town of Chatham, Massachusetts**, prohibits new residential construction within the 100-year floodplain, permits other uses including agriculture, beach nourishment, recreation, and fishing, and requires special permits for uses such as marinas and boat launches.<sup>189</sup>

In a landmark 2005 ruling, the **Massachusetts Supreme Judicial Court** ruled that a zoning ordinance did not constitute a regulatory taking based on allegations that it prevented the plaintiff from constructing a home and may have reduced the property's market value. The Court upheld the ordinance because it had the clear goal of protecting people and property, left the property owner with many alternative uses, and was applied fairly to identifiable, mapped areas.<sup>190</sup>

Wetland regulations for the **Town of Falmouth, Massachusetts**, require consideration and incorporation of sea-level rise for development within the 100-year floodplain. The purpose of these regulations is to protect portions of coastal floodplains located immediately landward of salt marshes, coastal dunes, and barrier beaches and to allow for their landward migration.<sup>191</sup>

#### 2. Overcoming Barriers

Applying more stringent floodplain regulations that incorporate updated sea-level rise projections may increase insurance and construction costs. To ensure support for such measures, counties should continue to participate in the CRS. More frequent FIRM map updates are necessary to effectively implement floodplain regulations. Generating federal capacity, resources, and political will to execute these upgrades may pose a significant barrier.<sup>192</sup> Because FIMA utilizes contractors and state and local partners for mapping,<sup>193</sup> decision-makers at all levels of government should share data and studies. Enhanced coordination will help ensure the best-available data is incorporated into future FIRM updates.

#### C. Shoreline Construction Setbacks

Siting shoreline structures out of harm's way, by means of setbacks, is fundamental to sea-level rise adaptation. Shoreline construction setbacks indicate the closest distance to the shoreline where development may be permitted. Hawai'i law defines the shoreline as "the upper reaches of the wash of the waves . . . usually evidenced by the edge of vegetation growth, or the upper line of debris left by the wash of the waves."<sup>194</sup> Although under the HCZMA setbacks shall be not less than 20 feet and not more than 40 feet inland from the shoreline,<sup>195</sup> all counties have adopted more stringent setbacks.<sup>196</sup> Kaua'i and Maui counties have adopted innovative shoreline erosion-based construction setbacks based upon data from the UH Coastal Geology Group.

In 2008, **Kaua'i County** adopted what is considered one of the most protective shoreline construction setbacks in the nation. The setback line is the sum of 40 feet plus 70 years times the average annual erosion rate. Seventy years was derived from a Federal Insurance Administration Department of Housing and Urban Development engineering study determining the average life span of wood-framed coastal structures.<sup>197</sup> The planning period for structures 5,000 square feet or greater is 100 years because they are often built using stone or more durable materials.<sup>198</sup> Kaua'i's rules provide for a 10% adjustment in the average annual erosion rate for future sea-level rise in some cases,<sup>199</sup> which encompasses principles of adaptive management and planning. Kaua'i County also incorporated a minimum buildable footprint allowance of 1,500 square feet to avoid potential regulatory takings claims due to increased shoreline construction setbacks associated with the 2008 ordinance.

In *Maui County*, the shoreline setback line is the greater of the following two distances, (i) 25 feet plus 50 times the annual erosion rate, or (ii) 25 feet for lots with depths of 100 feet or less, 40 feet for lots with depths between 100 and 160 feet, or 25% of the lot depth for lots with depths greater than 160 feet, up to a maximum 150 feet.<sup>200</sup>

In the **City and County of Honolulu**, the shoreline setback is generally 40 feet inland from the certified shoreline but can be decreased to 20 feet for lots whose depth of buildable area is less than 30 feet.<sup>201</sup> For new subdivisions, the setback is 60 feet.<sup>202</sup>

*Hawai'i County* establishes the shoreline setback at a minimum of 40 feet. Exceptions apply to nonconforming lots that are less than 100 feet deep or have less than 50% buildable area remaining.<sup>203</sup>

Coastal construction setback from the shoreline provides an open beach area for public use at Kahala Beach, O'ahu. Credit: Dolan Eversole, NOAA Sea Grant Coastal Storms Program Coordinator, Pacific Region



#### 1. Recommendations and Next Steps

At the state level, ICAP has suggested removing the maximum 40-foot setback limit to account for structures located in the state conservation district.<sup>204</sup> At the county level, adopting erosion-based setbacks similar to Kaua'i's that account for the lifespan of structures and allow for sea-level rise adjustments could be an effective adaptation measure for restricting development where there are large areas of erodible shoreline. UH recently completed erosion studies for the City and County of Honolulu, which provide data necessary to amend setback regulations. The necessity for an erosion-based setback in Hawai'i County is less clear given the island's relatively less erodible basalt rock coastlines.

Under Maine's Coastal Sand Dune Rules, setback calculations for structures greater than 2,500 feet must incorporate a 2-foot rise in sea level over the next 100 years.<sup>205</sup>

The 2009 **California Climate Adaptation Strategy** recommends that state and local jurisdictions collaborate to consider mandatory construction setbacks that prohibit construction and significant redevelopment in "areas that will likely be impacted by sealevel rise within the life of the structure."<sup>206</sup>

#### 2. Overcoming Barriers

O'ahu and other islands have urban areas with shallow lot sizes that may give rise to regulatory takings claims if stringent setbacks are applied in a manner that precludes any construction. To avoid such lawsuits, counties could apply scaled setbacks to smaller parcels based upon average lot depth; Maui County and Hawai'i County have taken this approach to ensure that parcels retain buildable space. More protective setback laws should withstand regulatory takings claims when enforcement is necessary to prevent property damage and reduce risks to public health and safety.<sup>207</sup> County decision-makers could also consider requiring deed restrictions forbidding armoring or any other action interfering with natural coastal processes as a variance condition.

#### D. Coastal Construction Control Lines

Under current setback laws, building control lines fluctuate with shoreline changes. If accretion occurs, structures may be built farther seaward. These structures will then be more vulnerable to storm surge, future erosion, tsunamis, and related coastal hazards. CCCL programs address this concern. Unlike setbacks, CCCLs are fixed and pre-recorded lines that do not change based on accretion, thus halting incremental seaward movement of the building control line. Existing and future development seaward of the CCCL is regulated, although not necessarily prohibited.<sup>208</sup>

#### 1. Recommendations and Next Steps

The *Kailua Beach and Dune Management Plan* recommends implementing CCCLs on Hawai'i beaches undergoing accretion. For Kailua Beach specifically, the plan proposes:

- Establishing the CCCL at the seaward edge of all existing major structures;
- Periodically reviewing the CCCL based upon best-available erosion and sea-level rise data; and
- *Relocating the CCCL* when necessary.<sup>209</sup>

The Florida legislature established a **CCCL Program** as one of three components of the **Florida Beach and Shore Preservation Act**. The purpose of the program is to preserve and protect the state's beaches and coastal barrier dunes, which are subject to severe and frequent fluctuations, from imprudent construction while allowing reasonable use of private property. The program acknowledges that such construction can jeopardize beach and dune system stability, accelerate erosion, provide inadequate protection to upland structures, endanger adjacent properties, and interfere with public beach access.

While exercising adaptive management and planning, the **Florida Department of Environmental Protection** establishes and periodically reviews and updates CCCLs for each coastal county containing sandy beaches. CCCLs define areas subject to severe fluctuations based upon the 100-year storm surge, storm waves, or other predictable weather conditions and are determined from comprehensive engineering studies and topographic surveys.<sup>210</sup> Unlike setbacks, development seaward of the CCCL is not prohibited but is subject to special siting and design criteria as well as specific rules and permitting procedures.<sup>211</sup>

#### 2. Overcoming Barriers

Hawai'i state and local governments have utilized setback regimes under the HCZMA since the late 1980s. Outreach and education may be necessary to generate support for the relatively novel CCCL approach. Like similar measures, CCCLs must be reviewed and updated periodically to accommodate shoreline fluctuations. Thus, ongoing scientific studies regarding storm surge, sea-level rise, and erosion are necessary to ensure CCCLs are based on the best-available science. Expanded climate change and sea-level rise research, as discussed in section IV.B, would provide data in support of CCCL programs. In addition, CCCLs are most effective on dynamic shorelines that erode and accrete in cycles, especially accreting coastlines where it is advisable to limit or further control seaward development. CCCLs may be less effective absent these conditions.
The Legislature finds and declares that the beaches in this state and the coastal barrier dunes adjacent to such beaches, by their nature, are subject to frequent and severe fluctuations and represent one of the most valuable natural resources of Florida and that it is in the public interest to preserve and protect them from imprudent construction which can jeopardize the stability of the beach-dune system, accelerate erosion, provide inadequate protection to upland structures, endanger adjacent properties, or interfere with public beach access. In furtherance of these findings, it is the intent of the Legislature to provide that the department establish coastal construction control lines on a county basis along the sand beaches of the state fronting on the Atlantic Ocean, the Gulf of Mexico, or the Straits of Florida.

#### Florida Beach and Shore Preservation Act<sup>212</sup>

Disintegrating ad hoc erosion control structures placed in front of homes in response to chronic and seasonal erosion on the north shore of O'ahu. Credit: Dolan Eversole, NOAA Sea Grant Coastal Storms Program Coordinator, Pacific Region



#### E. Hard Armoring

Although seawalls and shoreline hardening are not favored under state law, they remain central to the discussion of sea-level rise adaptation. Under the HCZMA, hard armoring structures and devices in the shoreline area (i.e., the area between the shoreline and setback line) are prohibited unless the property owner qualifies for a statutory exemption or obtains a variance. (Variances are not required if the structure was completed prior to June 22, 1970, approved prior to June 16, 1989, or was located outside the shoreline area when approved.) Permitted structures may be repaired, but not enlarged, without a variance.<sup>213</sup>

County ordinances provide specific guidance for county permitting authorities to grant or deny permits for shoreline hard armoring structures.<sup>214</sup> Variances also may be granted by county agencies under prescribed circumstances. Under state law, any variance must contain conditions that maintain shoreline access or compensate for its loss, minimize the risks of adverse impacts on the shoreline or structural failure on public property, and minimize adverse impacts on public views to, from, and along the shoreline.<sup>215</sup>



Regional armoring due to long-term chronic erosion has resulted in the loss of sandy beach in Lanikai, Oʻahu. Credit: UH Sea Grant

Although the HCZMA establishes the legal framework for county regulation of shoreline hardening, the counties regulate land and all structures (including hardening structures) landward of the certified shoreline.<sup>216</sup>

Under section 23-1.8 of the Revised Ordinances of Honolulu, for example, the **City** and **County of Honolulu** may deny a property owner's request for a variance to build a shoreline hardening structure unless the structure is minor and does not interfere significantly with natural processes. The County must "protect and preserve the natural shoreline, especially sandy beaches."<sup>217</sup>

**Maui County** similarly prohibits shoreline hardening structures or activities, unless they are necessary for beach or dune nourishment activities and landscape planting and irrigation purposes. The **Maui Planning Commission** may grant a variance to a property owner regarding a legal habitable structure or public infrastructure, however, as long as the structure at risk of damage from coastal erosion poses a danger to the health, safety and welfare of the public, and the proposed hardening is the best shoreline management option in accordance with relevant state policy on shoreline hardening.

In 2009, Chapter 8 of the **Kaua'i County Code** was amended and section 8-27.7 now states that the "construction of any erosion-control or shoreline hardening structure or activity shall not to be allowed to protect the permitted structure or activity during its life, with the exception of approved beach or dune nourishment fill activities, and landscape planting and irrigation." Section 8-27.7 was further amended to include the following language: "All new structures or activities shall not (i) adversely affect beach processes, (ii) artificially fix the shoreline, (iii) interfere with public access or public views to and along the shoreline, (iv) impede the natural processes and/or movement of the shoreline and/or sand dunes, or (v) alter the grade of the shoreline setback area . . . All new structures shall be consistent with the purposes of this article and HRS Chapter 205A, as amended." Finally, **Hawai'i County** is unlikely to permit property owners to build a structure related to shoreline hardening within the shoreline area unless the following applies: a permitted structure through issuance of variance from the Planning Commission; completed by or activities which commenced prior to June 22, 1970; a structure or activity that has received a building permit, DLNR approval, Special Management Area Use Permit/approval and/or a shoreline setback variance prior to June 16, 1989; structures and activities necessary for or ancillary to continuation of existing agriculture or aquaculture activity in the shoreline setback area prior to June 16, 1989; or work being done consists of maintenance, repair, reconstruction, and minor additions to or alterations of legal, publicly-owned boating, maritime, or water sports recreational facilities, which result in little or no interference with natural shoreline processes.

Shoreline protection is most effective and less damaging to natural resources if it is the appropriate kind of structure for the project site and erosion and flood problem, and is properly designed, constructed, and maintained. Because factors affecting erosion and flooding vary considerably, no single protective method or structure is appropriate in all situations. When a structure is not appropriate or is improperly designed and constructed to meet the unique site characteristics, flood conditions, and erosional forces at the project site, the structure is more likely to fail, require additional fill to repair, have higher long-term maintenance costs because of higher frequency of repair, and cause greater disturbance and displacement of the site's natural resources.

San Francisco Bay Conservation and Development Commission<sup>218</sup>

#### 1. Recommendations and Next Steps

It is recommended that variances require hard armoring structures to be capable of withstanding coastal hazards under the 1-foot-by-2050 and 3-feet-by-2100 benchmark. Counties also should consider imposing development restrictions that discourage hard armoring. Shoreline structure variances must be conditioned to "minimize risk of adverse impacts on beach processes,"<sup>219</sup> and shoreline hardening can cause beach loss and may interfere with natural accretion. Variances could similarly be conditioned to prohibit future repairs and to not allow property owners to seek variances or permits to expand or strengthen such structures in the future. County ordinances also could require property owners to consider relocation of residences and non-structural or soft-armoring protection methods before hard armoring structures may be approved. In addition, state and local governments could adopt policies generally favoring non-structural armoring over hard armoring as a shoreline protection measure. Finally, under severe conditions, hard armoring structures themselves may fail and create a debris hazard. Variances must therefore be conditioned to "minimize risk of structures failing and becoming loose rocks or rubble on public property."<sup>220</sup>

Under the **San Francisco Bay Plan**'s amended shoreline protection policies, new shoreline protection projects, as well as the maintenance or reconstruction of existing projects, should be authorized if:

- The project is necessary to provide flood or erosion protection for existing development, use, or infrastructure, or is consistent with other Bay Plan policies;
- The type of the protective structure is appropriate for the project site, the uses to be protected, and the erosion and flooding conditions at the site;
- The project is properly engineered to provide erosion control and flood protection for the expected life of the project based on a 100-year flood event that takes future sea level rise into account;
- The project is properly designed and constructed to prevent significant impediments to physical and visual public access; and
- The protection is integrated with current or planned adjacent shoreline protection measures.

*Furthermore, authorized shoreline protection projects should include long-term maintenance programs to protect the shoreline from erosion and minimize effects on natural resources. Non-structural methods such as marsh vegetation should be considered where feasible and appropriate.*<sup>221</sup>

Under the **Rhode Island Coastal Resources Management Program**, state law requires property owners to exhaust all reasonable alternatives, including relocation and non-structural shoreline protection methods, before proposing structural shoreline protection.<sup>222</sup>

In **South Carolina**, after June 30, 2005, seawalls and bulkheads may not be repaired or replaced if damaged more than 50%. The damage percentage necessary for prohibiting repairs and replacement is higher for older structures.<sup>223</sup> Additionally, the South Carolina Coastal Council is prohibited from permitting new seawalls or erosion control devices within the setback zone under any circumstances in order to limit the nature of development within the setback area.<sup>224</sup>

As a condition for approving shoreline protection structures, repairs, or additions, the **Coastal Zone Shoreline and Bluff Ordinance of Malibu, California**, requires deed restrictions in which the property owner acknowledges that no future repairs, maintenance, enhancement, reinforcement, or extensions shall be undertaken and expressly waives his or her rights to such activities. The deed restrictions also require the property owner to acknowledge that the structure is intended to protect only existing structures in their present condition and location.<sup>225</sup>

## 2. Overcoming Barriers

Although hard armoring disrupts natural processes and may pose risks to property, it may be necessary to protect critical infrastructure in areas where retreat or relocation are not feasible. For example, shoreline hardening may be appropriate to protect coastal portions of Kamehameha Highway on O'ahu that cannot feasibly be relocated landward. Sea-level rise may reduce the effectiveness of existing hard armoring structures designed for lower sea levels,<sup>226</sup> and reinforcing or expanding these structures may be necessary in some instances. A sea-level rise task force, as discussed in section IV.C, could be charged with identifying and prioritizing critical infrastructure or areas requiring protection, accommodation, or relocation. Counties could create and utilize overlay zones or buffer zones, as discussed in sections VI.A and VI.N, respectively, to help regulate hard armoring and non-structural armoring in a manner that is consistent with overall climate change and sea-level rise adaptation strategies.

There are limited relocation options along sections of Kamehameha Highway, Oʻahu that are threatened by sealevel rise. Emergency armoring currently protects the road. Credit: Dolan Eversole, NOAA Sea Grant Coastal Storms Program Coordinator, Pacific Region



# F. Rebuilding Restrictions

Rebuilding decisions – often made in the immediate aftermath of a disaster – provide a critical opportunity to implement climate change and sea-level rise adaptation measures. Under current law, counties may impose rebuilding restrictions on nonconforming structures, i.e., structures that do not comply with existing structural or use requirements. In the City and County of Honolulu, Maui County, and Hawai'i County, it is generally the case that if a nonconforming structure is destroyed more than 50% of its replacement cost, the structure may be rebuilt only in conformance with prevailing land use and zoning ordinances.<sup>227</sup> Similar restrictions apply to nonconforming structures within the floodplain.<sup>228</sup>

## 1. Recommendations and Next Steps

Over time, government enforcement of stricter rebuilding requirements may render more structures nonconforming. In addition to requiring structures that have been destroyed more than 50% of their replacement cost to be rebuilt in conformance with current laws, restrictions for nonconforming structures also could be amended to:

- Impose rebuilding restrictions on structures damaged less than 50% of their replacement cost;
- Apply more stringent rebuilding restrictions to structures that have been rebuilt more than once;

- Prohibit property owners from rebuilding structures larger or farther seaward; and/or
- Allow reconstruction *only without hard armoring* of the shoreline.

Strengthening rebuilding restrictions will foster safer redevelopment of disaster-stricken shoreline areas consistent with climate change adaptation objectives.



Seasonal high surf at Laniakea, Oʻahu results in annual road closures. Credit: Dolan Eversole, NOAA Sea Grant Coastal Storms Program Coordinator, Pacific Region

Under Maine's Coastal Sand Dune Rules, buildings damaged by wave action from an ocean storm are subject to rebuilding provisions that include the following:

- Buildings damaged less than 50% of their value must be moved back from the beach to the extent practicable and cannot be moved farther seaward. Building footprints and heights may not exceed those of the original structures but may qualify for height exceptions by meeting post or piling elevation requirements.
- Buildings damaged more than 50% of their value must comply with the provisions above. In addition, buildings located within V-Zones (i.e., floodplain areas identified on FIRMs that are subject to high velocity wave action) cannot be reconstructed more than once; and buildings partially located within V-Zones must be rebuilt to limit intrusion to the extent practicable.
- Buildings in the frontal dune that are damaged more than 50% of their value must be moved back from the beach to the extent practicable and must have a footprint not exceeding 20% of the total lot area. Additionally, the property owner must mitigate impacts to the coastal sand dune system.
- As an alternative to rebuilding, the rules also reference state and federal programs that acquire storm-damaged properties from willing sellers.<sup>229</sup>

Under the statewide forty-year retreat policy, **South Carolina Coastal Zone Management** laws include regulations for reconstruction between the erosion zone baseline (established at the crest of the primary oceanfront sand dune in that zone) and the setback line. Habitable structures damaged less than two-thirds of their replacement value may be repaired or renovated. Habitable structures destroyed more than two-thirds of their replacement value, however, may be replaced only if the replacement structure does not extend farther seaward of the setback line than the original and is moved landward of the setback line where possible, or as far landward as practicable. The law also includes rebuilding restrictions for erosion control structures and devices that vary according to the extent damaged and the age of the structure. If the structure is damaged less than a certain percentage, it may be maintained in its present condition but cannot be enlarged, strengthened, rebuilt, or repaired with different materials. If the structure is damaged more than the prescribed percentage, it must be removed unless it is protecting a public highway.<sup>230</sup>

### 2. Overcoming Barriers

Rebuilding restrictions affect property use only after natural disasters and thus are not proactive tools.<sup>231</sup> In densely developed coastal areas with smaller lot sizes, tighter rebuilding restrictions run the risk of preventing use of the lot, thus triggering regulatory takings claims. In many instances, property owners may be expected to seek variances to allow them to essentially replace damaged structures. To promote the success of adaptation through rebuilding restrictions, decision-makers should consider pairing such measures with spending and market-based tools, as discussed in sections VII and VIII, which may promote increased support among affected landowners.

## G. Building Codes and Resilient Design

The Hawai'i Legislature established the State Building Code Council in 2007 pursuant to Act 82. Act 82<sup>232</sup> charged the council with establishing a comprehensive state building code,<sup>233</sup> which must include the latest editions of the state fire code, the Uniform Plumbing Code, and the International Building Code in addition to other nationally published codes and standards for safety and energy conservation. The code must also include design standards for emergency shelters capable of withstanding a 500-year hurricane and for essential government facilities.<sup>234</sup> Counties are responsible for adopting a building code ordinance based upon the state model, with amendments that may be stricter but not more lenient than the state model.<sup>235</sup> The Hawai'i Legislature adopted and approved the current state building code in April 2010.<sup>236</sup>

The counties have also adopted ordinances to meet NFIP resilient design requirements for development within the floodplain to be eligible for federal flood insurance.<sup>237</sup> NFIP regulations include requirements concerning ground-floor elevation, flood-proofing, anchoring, and building materials.<sup>238</sup>

#### 1. Recommendations and Next Steps

The State Building Council could be directed to amend the state building code to incorporate the 1-footby-2050 and 3-feet-by-2100 sea-level rise benchmark, with counties adopting potentially more restrictive amendments. The counties also could adopt ordinances that expand NFIP resilient design regulations by:

- *Raising* elevation requirements;<sup>239</sup>
- Applying resilient design requirements to *districts beyond those identified as most hazardous* by FEMA, such as the flood fringe, coastal high hazard areas,<sup>240</sup> and the 500-year floodplain;<sup>241</sup> and/or
- Applying resilient design requirements to *all structures undergoing improvements and repairs*, rather than to newly proposed structures only.<sup>242</sup>

In 2007, the **Rhode Island** legislature adopted a statute requiring the state building code standards committee, in consultation with the building code commissioner, to take into account, to the extent reasonable and feasible, "climatic changes and potential climatic changes and sea level rise" when adopting, amending, and repealing code provisions.<sup>243</sup>

### 2. Overcoming Barriers

Building codes and resilient design requirements, whether at the state or county level, require regular reviews and updates based upon new information to provide the best protection from coastal hazards.<sup>244</sup> Effective implementation and enforcement also require ongoing training of enforcement personnel and educating the building community and property owners. The state could lead these efforts to ensure coordination.<sup>245</sup> FEMA maintains guidance for construction of buildings in coastal high hazard areas, which could provide a resource for further amending building codes and resilient design requirements.<sup>246</sup>

### H. Subdivision Approvals

County subdivision ordinances regulate land use by providing processes, rules, and conditions for dividing large tracts of land into smaller parcels for development. In Hawai'i, subdivisions generally must comply or be consistent with state and local plans, laws, rules, and regulations.<sup>247</sup>

### 1. Recommendations and Next Steps

County decision-makers can utilize their existing authority to protect subdivision residents from future coastal hazards.<sup>248</sup> To further incorporate sea-level rise adaptation in the subdivision process, county ordinances could require hazard assessments at the subdivision stage. Subdivision proposals that require shoreline protection, cause erosion, or become subject to specified degrees of inundation could be rejected.

The director of the **Hawai'i County Planning Department** implemented sea-level rise adaptation measures at the subdivision phase in Kapoho, an area prone to monthly flooding, by requiring a proposed subdivision to be above water in 100 years after 3 to 4 feet of continuous land subsidence, 2 feet of subsidence from a catastrophic event, and 2 feet of future sea-level rise.<sup>249</sup> The county subdivision ordinance authorizes such measures by stating, "[a] lot shall be suitable for the purposes for which it is intended to be sold. No area subject to periodic inundation which endangers the health or safety of its occupants may be subdivided for residential purposes."<sup>250</sup>

The **City of Malibu, California,** explicitly requires developers to account for anticipated future changes in sea level and potential impacts on beach erosion, shoreline retreat, and bluff erosion rates in the siting and design of shoreline development to eliminate or minimize associated hazards.<sup>251</sup> The city's subdivision ordinance, adopted under the Malibu Local Coastal Program, prohibits new beachfront or blufftop subdivisions unless the lots can be developed without requiring a bluff or shoreline protection structure within the full 100-year economic life of the development.<sup>252</sup>

## 2. Overcoming Barriers

Because sea-level rise and inundation threats vary from community to community, further scientific research conducted on a regional basis, as mentioned in section IV.B, would provide leverage for county decision-makers to restrict subdivisions vulnerable to inundation. For example, the director of the Hawai'i County Planning Department implemented the adaptation measures mentioned above based upon a study of subsidence and coastal hazards that had been conducted specifically for the region where the subdivision was proposed.<sup>253</sup> Until more site-specific data is available, county decision-makers could rely on currently available data and the 1-foot-by-2050 and 3-feet-by-2100 sea-level benchmark.

### I. Cluster Development

Cluster development ordinances typically allow concentrated development in certain areas of a tract in exchange for preserving open space.<sup>254</sup> For example, the City and County of Honolulu allows cluster development in agricultural districts "[t]o promote economy of services and utilities and the most efficient use of the remainder area for agricultural pursuits"<sup>255</sup> and in rural districts "[t]o promote economy of services and utilities and to encourage the recreational of large tracts of open space for agricultural lands which contribute to rural character."<sup>256</sup> The ordinances also permit cluster housing:

- To allow development of housing sites which would *otherwise be difficult to develop* under conventional city subdivision standards;
- To allow *flexibility in housing types*, including attached units;
- To encourage innovative site design and efficient open space;
- To *minimize grading* by allowing private roadways, narrower roadway widths and steeper grades than otherwise permitted; and
- To provide *common amenities*, when appropriate.<sup>257</sup>



Maui County permits cluster housing development for the same purposes, in addition to encouraging the development of affordable housing.<sup>258</sup> Hawai'i County permits cluster development in single-family residential districts to maintain permitted dwelling unit densities while preserving desirable open space, tree cover, recreational areas, and scenic vistas.<sup>259</sup>

The counties should consider adopting or amending ordinances to allow cluster development for the purpose of accommodating increased inundation due to sea-level rise. The ordinances could encourage development in upland areas of coastal tracts while discouraging development in low-lying areas, especially if the low-lying areas have wetlands, sand dunes, or other natural flood buffers.<sup>260</sup> Ordinances would apply to vulnerable areas as determined by the SMA process, coastal hazard overlay zones, and potentially the proposed 1-foot-by 2050 and 3-feet-by-2100 benchmark. Clustering would reduce costs for hard armoring or other necessary protection measures, and could be either mandatory or promoted through incentives such as density bonuses or permit streamlining.<sup>261</sup>

The 2009 **California Climate Adaptation Strategy** encourages all levels of government to consider clustering new development in areas considered to have a low vulnerability to sea-level rise.<sup>262</sup>

# 2. Overcoming Barriers

Cluster developments require subdivision lots of substantial size to be most effective.<sup>263</sup> In urban areas, especially on O'ahu where the shoreline is largely subdivided and developed, cluster development may be of limited utility. In low-lying, undeveloped areas located further inland, however, cluster development may be appropriate insofar as sea-level rise causes the shoreline to move landward over time.

## J. Land Development Conditions

Hawai'i state and county land use authorities may impose development conditions as part of subdivision and permitting processes.<sup>264</sup> For example, under the HCZMA, counties may impose conditions on SMA permits to ensure, among other things, beach access, adequate land for recreation and wildlife preserves, and the minimization of adverse impacts from flooding, wind damage, storm surge, landslides, erosion, siltation, and earthquakes.<sup>265</sup> Similarly, state law requires counties to adopt ordinances that impose land development conditions on subdivision approvals to ensure park and playground space for occupants<sup>266</sup> and access to public roadways, trails, and shorelines.<sup>267</sup> State law also enables counties to charge developers impact fees for certain capital improvement programs.<sup>268</sup>

#### 1. Recommendations and Next Steps

Because climate change and sea-level rise will amplify the impacts of flooding, storm surge, and erosion, and because natural inundation buffers such as wetlands and sand dunes often serve as wildlife preserves, the HCZMA could be amended to explicitly authorize authorities to impose conditions upon SMA permits that facilitate sea-level rise adaptation and coastal hazard planning. The Hawai'i Legislature could also pass laws expressly authorizing or requiring counties to impose conditions on subdivisions and permits that accommodate specified degrees or multiple scenarios for sea-level rise (including the 1-foot-by 2050 and 3-feet-by-2100 benchmark). The *Georgetown Tool Kit* suggests the following development conditions:

• *Restrictions on hard armoring*—the landowner agrees not to build hard coastal armoring in the future to protect structures from flooding. These types of conditions can plan for and authorize non-structural solutions.

- *Removal requirements*—the landowner agrees to remove structures when the shoreline recedes landward such that his or her structure encroaches on public lands. As the seas rise, the boundary between private lands and public beaches (the shoreline) will be pushed inland. This type of condition allows landowners to develop property but with the expectation that the development will eventually cede to rising seas.
- *Dedications*—the landowner dedicates an easement to preserve natural buffers, floodways, or to provide public access. Dedications may be subject to heightened judicial scrutiny.
- *Impact fees*—the developer is required to pay a fee to cover the costs of potential emergency response, flood-proofing infrastructure servicing the new development, future armoring, or mitigating impacts to natural resources from future armoring.
- *Flood-proofing requirements*—developers must design the new development and its supporting infrastructure to be more resilient to flood impacts. For example, permits could require that roads be elevated and that sewer lines be flood-proofed.<sup>269</sup>

The **Hawaii Coastal Hazard Mitigation Guidebook** recommends requiring landowners to dedicate a buffer zone, or "Beach Reserve," to the counties as a condition for coastal subdivision approvals. The Guidebook also suggests achieving this dedication through development agreements,<sup>270</sup> which pose less risk of a regulatory taking.

The **California Coastal Commission** imposes permit conditions that prohibit future armoring, require removal under certain circumstances, waive risk liability, and apply deed restrictions for development within the coastal zone. These conditions have not been tested in court, but the public trust doctrine should be considered a defense for past and future permit conditions prohibiting seawalls.<sup>271</sup>

# 2. Overcoming Barriers

Like other land use conditions, development restrictions to achieve sea-level rise adaptation objectives should be designed to withstand legal challenges. For example, the U.S. Supreme Court has held such conditions must promote a legitimate state interest, have a rational or essential nexus with the development project, and be proportional to the need or problem caused by the development project.<sup>272</sup> State and local government authorities must ensure development restrictions are implemented consistent with this basic guidance.

## K. Environmental Review

Under the Hawaii Environmental Policy Act, codified in Chapter 343 of the Hawaii Revised Statutes ("Chapter 343"), government actions and many private development projects must undergo an environmental review process. The purpose of the Chapter 343 environmental review process is to integrate the review of environmental concerns with existing state and county planning processes and alert decision-makers to significant environmental effects of certain actions. Environmental concerns are to be given appropriate consideration in decision-making along with economic and technical considerations.<sup>273</sup>

Major features of the process include preparation of an Environmental Assessment ("EA") and Environmental Impact Statement ("EIS").<sup>274</sup> EAs may be triggered by the use of state or county land or funds and activities in state conservation district lands, shoreline areas, and in Waikīkī. If the agency determines that the proposed action "may have a significant effect on the environment," based upon significance criteria found in administrative rules implementing Chapter 343, an EIS must be prepared to further disclose the environmental, economic, social, and cultural effects of proposed actions.<sup>275</sup> The EIS also must propose measures to minimize adverse effects and offer alternatives.<sup>276</sup> An agency or designated authority must accept the final EIS before a proposed action may commence or resume.<sup>277</sup>

### 1. Recommendations and Next Steps

The Chapter 343 significance criteria – which are used to determine whether a full EIS is required – could be amended to require an EIS when the proposed action increases "the scope or intensity of natural hazards to the public, such as increased coastal inundation, flooding, or erosion that may occur as a result of climate change anticipated during the life-time of the project."<sup>278</sup> Amending the Chapter 343 significance criteria in this manner would advance sea-level rise adaptation efforts statewide.

To further support adaptation, the Hawai'i Legislature could amend Chapter 343 and its implementing administrative rules to require review of a project's climate change impacts, particularly with regard to GHG emissions. In 2008, legislation was introduced to mandate that Chapter 343 address climate change<sup>279</sup> by requiring an EIS to disclose the "effects of a proposed action as a contributor to climate change."<sup>280</sup> The legislation also sought to amend the definition of "significant effect" under Chapter 343 to include consideration of actions that "impact climate change."<sup>281</sup> The bill cited a decision by the United States Court of Appeals for the Ninth Circuit, which held for the first time that federal agencies must assess carbon dioxide emissions and other climate change impacts in environmental review documents prepared under the National Environmental Policy Act.<sup>282</sup>

*Massachusetts* environmental protection laws require relevant state and county authorities to consider "reasonably foreseeable climate change impacts, including additional greenhouse gas emissions, and effects, such as predicted sea level rise" when considering and issuing permits, licenses, and other administrative approvals. This requirement applies to the state's environmental review process. The statute defines "damage to the environment" to include "[A]ny destruction, damage or impairment, actual or probable, to any of the natural resources of the commonwealth [including] ... destruction of seashores, dunes, marine resources, underwater archaeological resources, [and] wetlands[.]"<sup>283</sup>

**California's Attorney General** has interpreted the **California Environmental Quality Act's broad definition of "significant environmental effect" to require the consideration** of climate change in environmental review documents.<sup>284</sup>

In August 2007 King County, Washington became the first in the nation to order county agencies to consider climate change impacts as part of their project review under Washington's State Environmental Policy Act ("SEPA").<sup>285</sup> In support of its order, King County cited the 2007 United States Supreme Court decision in Massachusetts v. EPA, in which the Court determined that GHGs are an "air pollutant."<sup>286</sup> And in December 2007, Seattle, Washington adopted an ordinance that requires developers to quantify GHG emissions for all projects subject to the city's environmental review and permitting process under SEPA.<sup>287</sup>

## 2. Overcoming Barriers

In the decades since its inception, the Chapter 343 environmental review process has played an important role in addressing a range of environmental and economic development issues in Hawai'i. Given Chapter 343's well-established ability to affect decision-making and outcomes, there may be resistance to incorporating climate change impacts into the process. The value and necessity of hazard mitigation and protecting Hawai'i's tourism infrastructure could be emphasized in support of amending Chapter 343 to explicitly incorporate climate change, consistent with Hawai'i's national leadership in environmental review.

## L. Rolling Easement Statutes

The term rolling easements refers to a combination of land use policies that allow beaches and wetlands to migrate landward, restrict hard armoring, and promote removal of structures and general retreat.<sup>288</sup> Rolling easements may avoid the costs and hazards of protecting lowlands from rising seas.<sup>289</sup> State and local governments can establish easements through regulations (i.e., statutes, zoning ordinances, coastal or wetland regulations, or permit conditions<sup>290</sup>) or by transferring rights<sup>291</sup> from property owners to public or private organizations not interested in hardening the shoreline.<sup>292</sup>

Rolling easement statutes are broadly effective because they apply to all identified coastal development rather than to specific parcels, as is the case with conservation easements and land development conditions.<sup>293</sup> Rolling easement statutes typically:

- Prohibit shoreline hard armoring;
- Establish a *rolling design boundary*, seaward of which the owner's property rights are reduced;
- *Prohibit* new structures seaward of the rolling design boundary;
- Encourage or require *removal of existing structures* when erosion leaves them seaward of the rolling design boundary;
- Warn prospective buyers of the regulations;
- Provide provisions for *public access*; and
- Indicate whether beach renourishment or adding sand dunes are permitted.<sup>294</sup>

## 1. Recommendations and Next Steps

The state could consider adopting rolling easement policies under the HCZMA that collectively facilitate managed retreat from the shoreline. Developing a rolling easement program would require policymakers to establish clear sea-level rise adaptation goals and priorities, determine rolling boundaries for regulating development, amend existing state laws and ordinances to conform to these policies, and make decisions based upon best-available sea-level rise data.

The **Texas** legislature enacted the **Texas Open Beaches Act** to ensure free and unrestricted access<sup>295</sup> to state-owned beaches, which include areas between the mean low tide line (seaward boundary) and the vegetation line (landward boundary) bordering the Gulf of Mexico.<sup>296</sup> Because the statutes include provisions that allow public access

to "roll" landward as the vegetation line migrates landward, the laws came to be recognized as rolling easement statutes.<sup>297</sup> The statutes prohibit construction or erection of barriers or restraints that would interfere with public access.<sup>298</sup> When pre-existing shoreline protection structures prevent the vegetation line from migrating landward, the public acquires an easement for access along the structure's entire landward edge.<sup>299</sup> The state may order removal of structures that come to infringe upon public beach access or constitute an imminent hazard to public safety, health, or welfare. The state may contract for and publically fund removal.<sup>300</sup> If a storm event causes the vegetation line to shift landward of a pre-existing house, the state may allow the house to remain for two years if it does not pose an imminent threat to public health and safety. This exception, however, does not apply to houses located below the mean high tide line or that have been destroyed by more than 50%.<sup>301</sup> For the sale of certain coastal properties, the law requires real estate disclosures notifying potential buyers that the properties may come to be located on the public beach because of coastal erosion and storm events and that the state may require removal at the owner's expense, among other provisions.<sup>302</sup>

The **South Carolina Beachfront Management Act** promotes the landward migration of beaches and sand dunes by establishing a forty-year retreat policy for adapting to erosion caused by sea-level rise, including rebuilding, removal, and relocation requirements, prohibiting shoreline hard armoring, creating an erosion-based setback, and requiring real estate disclosure of erosion rates.

The **Rhode Island Coastal Resources Management Program** allows for the landward migration of coastal resources by establishing policies that consider sea-level rise (section V.A), requiring buffer zones around coastal resources (section VI.N), and stating preferences for relocation over hard armoring (section VI.E).

Similarly, the Maine Coastal Zone Management Act and Coastal Sand Dune Rules allow for the landward migration of sand dunes<sup>303</sup> through policies, development restrictions based upon erosion and sea-level rise projections, and requirements for rebuilding, relocation, and removal (section VI.F).

#### 2. Overcoming Barriers

Rolling easements and managed retreat are innovative policies for implementing sea-level rise and climate change adaptation. Further research is necessary to evaluate how rolling easements may interact with existing coastal governance structures.<sup>304</sup>

#### M. Non-Structural Armoring

Non-structural armoring involves replenishing or mimicking natural buffers. It may also involve elevating land so that structures are less vulnerable to inundation. Examples include beach renourishment, dune creation and preservation, and wetland construction and restoration.<sup>305</sup> DLNR regulates beach renourishment projects<sup>306</sup> and state, county, and non-governmental organizations collaborate to fund, coordinate, and implement dune and wetland restoration projects.<sup>307</sup>

#### 1. Recommendations and Next Steps

State and local governments should consider prioritizing non-structural armoring over hard armoring. County ordinances could require property owners to consider non-structural armoring before hard armoring variances are granted. Beach and dune renourishment efforts on private property could be incentivized.



To preserve and restore primary coastal dunes in Kailua, O'ahu the Kailua Beach and Dune Management Plan ("Kailua Plan") proposes the following recommendations:

- Use signs, fencing, and movable dune walkovers at public beach access points to provide pedestrian access while preserving dune vegetation;
- Amend statutes and City and County of Honolulu ordinances to prohibit the grading of dunes in the shoreline setback area, require dune delineation for grading permits for coastal properties, recover beach-quality sand excavated during construction projects for dune restoration projects, and specify that any fill used in the setback area must be beach-quality sand;
- Encourage and support dune restoration efforts (dune fencing, re-vegetation, sand nourishment, etc.) with incentives such as grants or a conservation easement program managed by a non-profit land trust to manage the dune system and provide participating private landowners with tax relief or credits;
- Conduct additional studies and remediation or monitoring programs, as necessary, to obtain approval from the Hawaii Department of Health Clean Water Branch to allow the beneficial use of dredged sand from periodic dredging of the mouth of Ka'elepulu Stream to replenish the beach fronting Kailua Beach Park; and
- *Publish a handbook detailing the method and practice for future dune restoration projects.*<sup>308</sup>

The **Florida Beach and Shore Preservation Act** allows for publicly funded renourishment of "critically eroded" beaches. The statutes specify that the state will not fund projects that "provide only recreational benefits."<sup>309</sup>

The **Malibu Local Coastal Program** mandates "[o]n any beach found to be appropriate, alternative 'soft solutions' to the placement of shoreline protection structures shall be required to protect new or existing development. Soft solutions shall include dune restoration, sand nourishment, and design criteria emphasizing maximum landward setbacks and raised foundations.<sup>310</sup>

In **Maryland**, riparian landowners may use only non-structural shoreline stabilization methods to protect their property from erosion unless the **Department of Natural Resources** has designated and mapped the area as appropriate for structural stabilization or if non-structural methods are not feasible, such as in "areas of excessive erosion, areas subject to heavy tides, and areas too narrow for effective use of nonstructural shoreline stabilization measures."<sup>311</sup>

## 2. Overcoming Barriers

Beach renourishment programs have been criticized for causing ecological harm due to sand collection and for promoting a temporary rather than long-term solution to coastal erosion problems.<sup>312</sup> Renourishment also requires maintenance to sustain flood control benefits,<sup>313</sup> and the cost of renourishment can often exceed that of hard armoring. As sea-level rise accelerates, however, and without improved coastal engineering structures, Waikīkī beach and other beaches will likely require more frequent renourishment. Although costly, coastal hazard concerns and economic factors may continue to lend support to beach renourishment and other forms of non-structural hardening.

## N. Buffer Zones

Buffer zones can restrict development within specified distances of natural and cultural resources. For example, Hawai'i law requires buffer zones to protect artesian water aquifers<sup>314</sup> and archaeological sites.<sup>315</sup> Establishing buffer zones around sand dunes and coastal wetlands could allow them to migrate landward with rising sea levels.<sup>316</sup> In addition to naturally absorbing the impacts of flooding and inundation,<sup>317</sup> wetlands and sand dunes provide scenic and recreational amenities while functioning as important natural habitats. Wetlands also provide water filtration benefits.<sup>318</sup>

## 1. Recommendations and Next Steps

The Hawai'i Legislature should consider enacting laws that enable the counties to establish buffer zones to protect wetlands and sand dunes. Creating buffer zones would require identifying and mapping wetland and sand dune areas for protection, establishing buffer distances for prohibiting development around each area, and updating maps and buffer distances periodically to account for migration and expansion, erosion rate changes, and sea-level rise.

The **Town of Barnstable, Massachusetts**, established a 50-foot, undisturbed buffer zone for wetland resource areas to more effectively buffer, store, and contain increasing floodwaters resulting from sea-level rise. The regulation also authorizes the state conservation commission to permit certain activities and uses, such as access paths within the buffer zone, on a discretionary basis.<sup>319</sup>

The **Rhode Island Coastal Resources Management Program** establishes coastal buffer zones based upon the legislative mandate "to preserve, protect and, where possible, restore ecological systems." The program requires property owners to maintain vegetated areas between development and designated coastal features. Buffer distances vary according to development type (i.e., residential, commercial, industrial), lot size, and whether the property abuts designated critical habitat areas. Regulations apply differently to existing and proposed development. Benefits cited include protecting water quality, coastal habitats, aesthetics, and historic and archaeological resources as well as controlling erosion and flooding.<sup>320</sup>

The **Chesapeake Bay Preservation Act** enables local governments to establish 100foot buffers adjacent and landward to wetlands and tidal shores,<sup>321</sup> noting that "[i]n their natural condition, these lands provide for the removal, reduction or assimilation of sediments, nutrients and potentially harmful or toxic substances in runoff entering the bay and its tributaries, and minimize the adverse effects of human activities on state waters and aquatic resources."

#### 2. Overcoming Barriers

Mandating buffer zones could trigger regulatory takings claims, particularly if owners are deprived of all economically beneficial use of the property.<sup>322</sup> Decision-makers could develop buffer zone regulations that vary depending on lot size and that allow for exceptions to better withstand legal challenges.



# VII. SPENDING TOOLS

#### A. Capital Improvement Programs

Hawai'i state and local governments provide funding for capital improvement programs ("CIPs") to invest in transportation, schools, parks, and other public projects. CIPs must generally comply with comprehensive planning at state, county, and community levels.<sup>323</sup>

#### 1. Recommendations and Next Steps

Planners and decision-makers should consider incorporating the 1-foot-by-2050 and 3-feet-by-2100 benchmark into developing and approving CIPs. This will encourage long-term investments that protect public property as well as health and safety. Decision-makers should also consider restricting CIPs in vulnerable areas, relocating major repair and renovation projects, and allowing hard armoring or retrofitting to increase resiliency where relocation is not feasible.<sup>324</sup> Incorporating sea-level rise adaptation into comprehensive plans, as discussed in section V.B, would provide a useful policy framework for directing public investments away from vulnerable shoreline areas.

The U.S. Army Corps of Engineers requires consideration of the direct and indirect effects of sea-level rise to coastal and estuarine zones when "managing, planning, engineering, designing, constructing, operating, and maintaining" civil works projects. The USACE adopted a scenario-based approach for dealing with future sea-level rise uncertainties by requiring plans and designs to consider three possible projections: "low" (based upon the historic rate of sea level change), "intermediate" (calculated using National Research Council and IPCC projections), and "high" (exceeding IPCC projections to accommodate for the potential rapid loss of ice from Antarctica and Greenland). Structural and non-structural alternatives must be developed and assessed for the entire range of future sea-level rise. Selecting a course of action for USACE projects also must involve consideration of the risks, consequences, and benefits for each possible alternative as they relate to human health and safety, economics, the environment, and society.<sup>325</sup>

#### 2. Overcoming Barriers

Limiting CIPs along the coastline will require state and local governments to make difficult decisions that address long-term, incremental changes in climate and sea levels. Officials may lack incentives to make such decisions due to public misconceptions and the absence of a sense of urgency to address a slowly emerging problem.<sup>326</sup> Building public support through education and outreach will help decision-makers exercise discretion in approving or denying CIPs based upon the long-term effects of climate change and sea-level rise.

#### B. Land Acquisitions

In 2006, the Hawai'i Legislature established the Legacy Land Conservation Commission<sup>327</sup> to advise the DLNR Board of Land and Natural Resources on land acquisition projects seeking funding under the Legacy Land Conservation Program.<sup>328</sup> Statutory priorities for acquisition include lands with unique

aesthetic, cultural, or archaeological resources, habitats for threatened or endangered species, lands in imminent danger of being developed or modified, and unique and productive agricultural lands.<sup>329</sup> Program funds must be used to acquire lands for public purposes, which the law defines as preservation of any of the following: watershed protection; coastal areas, beaches, and ocean access; habitat protection; cultural and historical sites; recreational and public hunting areas; parks; natural areas; agricultural production; and open spaces and scenic resources.<sup>330</sup>

The program provides funding for projects led by a variety of governmental, private, and non-profit agencies and organizations operating throughout the state, each of which has developed qualifying criteria for land selection. The counties also reserve and disburse land acquisition funding for open space preservation, public access, natural and cultural resources, and scenic views.<sup>331</sup>

## 1. Recommendations and Next Steps

Many projects funded by the Legacy Land Conservation Program inherently facilitate sea-level rise adaptation by preserving open space and less-developed areas along the shoreline. Although funding is limited and other objectives could take precedence, the Hawai'i Legislature could consider amending the program to prioritize areas threatened by sea-level rise inundation, erosion, and other coastal hazards where beach and wetland migration is predicted and desired. Other governmental, private, and non-profit agencies and organizations with land acquisition programs could similarly prioritize these areas. State and local governments could allocate funding based upon beach and habitat value, capacity to allow for landward migration of wetlands or beaches, and the land's buffer potential to protect against storm surge or erosion.<sup>332</sup>



Nu'u Landing, Maui. In 2011, the Hawaiian Islands Land Trust, with funding from the DLNR Legacy Land Conservation Program, the U.S. Fish and Wildlife Service, and the Freeman Family Foundation, acquired eighty-two acres of rocky shoreline and wetlands in southeast Maui to preserve native species habitat, shoreline and recreational access, and cultural and archeological sites. Credit: Jonathan Starr and Hawaiian Islands Land Trust Decision-makers also could consider implementing buyout programs to acquire developed lands prone to natural hazards to reduce future loss of life and property. In *Adapting to Climate Change, A Guide for State and Coastal Managers*, the National Oceanic and Atmospheric Administration ("NOAA") asserts:

The most effective way to reduce losses is to acquire hazard-prone properties, both land and structures, demolish or relocate structures, and restrict all future development on the land. Generally, acquisition is the best and most cost-effective hazard mitigation alternative because it permanently removes people and their homes from harm's way.<sup>333</sup>

Buyout programs also can reduce future emotional and financial costs associated with response and recovery while supporting public access, recreation space, and environmental conservation along the shoreline.<sup>334</sup> Target properties may include properties that have suffered multiple losses, contain substantially damaged structures, are located in hazard-prone areas (e.g., floodways, sea-level rise inundation areas, SFHAs, erosion hazard areas), or have sufficient space for wetland or sand dune migration.<sup>335</sup>

The DLNR Board of Land and Natural Resources adopted the Hawaii Coastal Erosion Management Plan in 2000.<sup>336</sup> One of the plan's goals is to establish coastal land acquisition programs to negotiate purchases of lands prone to erosion and coastal hazards with the purpose of restoring and revitalizing coastal lands and environments, increasing public access, improving coastal ecological systems and processes, releasing impounded sands, and rejuvenating scenic beauty and recreational use.<sup>337</sup>

As part of comprehensive legislation addressing energy and climate change, the **Florida** legislature amended the state's nationally recognized land acquisition program in 2008 to authorize the purchase of land to mitigate and help adapt to sea-level rise and climate change impacts.<sup>338</sup> The **"Florida Forever"** program is the world's largest land acquisition program and has provided at least \$3 billion to preserve more than 2.3 million acres.<sup>339</sup>

Similarly, the "**Coastal Blue Acres**" program in **New Jersey** provides funding for local governments to acquire lands in coastal areas that have been damaged by storms, may be prone to storm damage, or buffer or protect other lands from storm damage for recreation and conservation purposes. The program provides 75% grant/25% loan funding for pre-storm projects and 50% grant/50% loan funding for post-storm projects.<sup>340</sup>

**FEMA** provides funding for state and local governments to purchase destroyed or severely damaged properties. FEMA will pay 75% of the fair market value of the property before the disaster struck, while communities are responsible for administering funds and paying costs usually associated with real estate costs. Because participation is voluntary, the government does not pay relocation costs.<sup>341</sup>

## 2. Overcoming Barriers

Land acquisitions and buyout programs along the coastline can be prohibitively expensive due to high property costs and loss of tax revenues from acquired land.<sup>342</sup> State and local decision-makers should carefully weigh costs and benefits when considering acquisition proposals and prioritize areas that pose

the greatest risks to life and property, and that have demonstrated public trust interests. In addition, buyout programs could be difficult to implement because property owners may be unwilling to vacate properties even after suffering damage from coastal hazards.<sup>343</sup>

## C. Conservation Easements

Unlike land acquisitions held in fee simple, conservation easements are legal agreements between landowners and land trusts or government agencies that restrict development or uses while allowing property to remain in private ownership.<sup>344</sup> In Hawai'i, conservation easements can be created by deed, restrictions, covenants, or conditions.<sup>345</sup> They are freely transferrable, perpetual, not personal, may restrict certain types of activity,<sup>346</sup> and obtainable by purchase, agreement, donation, devise, or bequest, but not by eminent domain.<sup>347</sup> State law authorizes public bodies and non-profit organizations<sup>348</sup> to hold conservation easements for the purpose of preserving and protecting open space, natural landscapes, cultural and historical sites and resources, and agricultural lands.<sup>349</sup> Traditional conservation easements prohibit all development on burdened parcels.<sup>350</sup>

# 1. Recommendations and Next Steps

Conservation easements seek to "[p]reserve and protect land predominantly in its natural, scenic, forested, or open-space condition"<sup>351</sup> and thus cover wetlands, sand dunes, and beaches and undeveloped areas along the shoreline,<sup>352</sup> all of which facilitate sea-level rise adaptation. For more direct impact, the Hawai'i Legislature should consider explicitly authorizing conservation easements to be held for the purpose of protecting life and property from coastal hazards and inundation due to climate change and sea-level rise, consistent with the 1-foot-by-2050 and 3-feet-by-2100 benchmark.



Pu'u O Hoku Ranch, Moloka'i. In 2006 and 2007 the Hawaiian Islands Land Trust (then Maui Coastal Land Trust) obtained a conservation easement for 2,887 acres of shoreline, pastureland, and hillsides in east Moloka'i to preserve agricultural and open space, cultural and archeological sites, and native species habitat. Credit: Hawaiian Islands Land Trust

To meet the goal of preserving and restoring primary coastal dunes, the **Kailua Plan** recommends partnering with a non-profit land trust to develop and manage a conservation easement program that negotiates and receives conservation easements on dune areas owned by private beachfront landowners. The plan suggests compensating landowners with tax relief or credits.<sup>353</sup>

NOAA's Coastal and Estuarine Land Conservation Program ("CELCP") provides matching federal funds for state and local governments to purchase coastal and estuarine properties from willing sellers either in fee simple or through conservation easements. To be eligible for selection, states must develop coastal and estuarine land conservation plans, receive plan approval by NOAA, and submit funding proposals.<sup>354</sup> UH completed a draft Hawai'i CELCP plan in 2006, which is currently available for public review before submission to NOAA.<sup>355</sup>

## 2. Overcoming Barriers

Like land acquisitions, conservation easements require voluntary agreements and thus may be less broadly effective than setbacks, overlay zones, and other state and local government regulations. To increase effectiveness, conservation easement programs could be combined with public education and outreach programs so that property owners can better understand the tax breaks and other benefits from these programs.<sup>356</sup>

## D. Rolling Conservation Easements

Rolling conservation easements could be incorporated into a rolling easement statute, as discussed in section VI.L. Instead of prohibiting all development on burdened parcels, like traditional conservation easements, rolling conservation easements restrict only shore protection structures, activities that increase the elevation of the land surface, and similar development activities. This allows wetlands and beaches to migrate inland. Landowners with rolling conservation easements may otherwise continue to develop as desired until rising seas claim the site<sup>357</sup> or boundaries between private and public property retreat.<sup>358</sup> Such easements also could limit development to upland areas and require removal of structures when they begin to encroach on public lands due to sea-level rise.<sup>359</sup>

## 1. Recommendations and Next Steps

State law authorizes conservation easements to "[p]reserve and protect land predominantly in its natural, scenic, forested, or open-space condition."<sup>360</sup> Because such easements may be negative in character,<sup>361</sup> and state law should allow rolling conservation easements insofar as they prohibit shoreline hardening and elevation of land surfaces. In essence, these prohibitions protect the land's natural condition by allowing wetlands and beaches to naturally migrate landward, unimpeded by structures.

## 2. Overcoming Barriers

Rolling conservation easements may be difficult to develop and administer insofar as they are not widely adopted.<sup>362</sup> As public awareness of the effects of climate change grows, however, measures now on the horizon may merit further consideration to bolster Hawai'i's policy tool kit for addressing climate change and sea-level rise.

VIII. MARKET-BASED TOOLS

#### A. Mandatory Real Estate Disclosures

Hawai'i state law requires sellers of residential real property to disclose material facts to prospective buyers. A "material fact" is any fact, defect, or condition, past or present that would reasonably be expected to measurably affect property value.<sup>363</sup> The law explicitly requires written disclosures for property located within SFHAs on FIRMs, and for property within areas designated on county tsunami inundation maps. Map ambiguities are to be construed in favor of sellers who make good faith efforts to determine whether their property is located within these zones.<sup>364</sup>

### 1. Recommendations and Next Steps

FIRMs and hazard inundation maps should be upgraded to reflect the impacts of sea-level rise so that mandatory disclosures may better inform investments in hazard-prone areas. Assuming the current UH Sea Grant sea-level rise risk and vulnerably assessment project is expanded to assess all low-lying coastal areas, as discussed in section IV.B, the Hawai'i Legislature should consider requiring disclosure for all properties located in coastal high hazard areas based on the results of that assessment and related future assessments. State law also could require disclosure, at the listing, contracting, or settlement phases, of known information about erosion, subsidence, and other coastal hazards and in recordation in deeds or other legal documents.<sup>365</sup> In short, mandatory disclosures could address the following four elements:

- *What property is affected* e.g., areas in a floodplain, defined as coastal high hazard, subject to erosion, special zoning, or overlays related to coastal hazards or a specified amount of sea-level rise.
- *Timing and process related to the notice* e.g., disclosure required prior to seller's offer acceptance, at the signing of the contract, or within a certain number of days of signing but before closing; delivered in person and with signed acknowledgment of receipt;
- *The content and form of the notice* e.g., purpose and geographic extent as well as specific references to applicable laws, regulations, and scientific data;
- The consequences of compliance or noncompliance with the notice requirements.<sup>366</sup>

The Hawaii Coastal Hazard Mitigation Guidebook recommends that the Hawai'i Legislature consider incorporating erosion rates into the state mandatory disclosure law. The Guidebook also notes that the Hawai'i Supreme Court has "indirectly indicated that erosion is a material factor to disclose" by ruling that a shoreline property boundary is a material fact.<sup>367</sup> The Guidebook notes that the law currently covers only residental real property and recommends amending the law to apply to undeveloped lots.<sup>368</sup>

UH Sea Grant, with support from DLNR and CZM, published Natural Hazard Considerations for Purchasing Coastal Real Estate in Hawai'i—A Practical Guide of Common Questions, which provides coastal hazard information that property investors and developers should consider when purchasing coastal land.<sup>369</sup> *Florida* state law requires notice to a potential purchaser of property affected by the state's CCCL.<sup>370</sup>

The **Texas Open Beaches Act** require real estate disclosures for the sale of certain coastal properties. Disclosures must notify potential buyers that the properties may come to be located on the public beach because of coastal erosion and storm events and that the state may require removal at the owners expense, among other provisions.<sup>371</sup>

And the **South Carolina** coastal zone management law requires real estate disclosure for properties located partially or wholly seaward of the setback line. Disclosures must include local erosion rates as provided by the Department of Health and Environmental Control.<sup>372</sup>

### 2. Overcoming Barriers

Requiring real estate disclosures related to sea-level rise and coastal hazards necessitates up-to-date mapping and modeling. Expanding sea-level rise research could provide and update this information for the entire state. Buyers interested in vulnerable properties may benefit from continued public education and outreach concerning risks from sea-level rise. Although real estate disclosures may increase transaction costs and possibly decrease property values, upfront time and expense may be outweighed by potential future savings in lives, property damage, and decreased taxpayer liability.<sup>373</sup>

### The Texas Open Beaches Act provides the following disclosure language:

"WARNING: THE FOLLOWING NOTICE OF POTENTIAL RISKS OF ECONOMIC LOSS TO YOU AS THE PURCHASER OF COASTAL REAL PROPERTY IS REQUIRED BY STATE LAW. READ THIS NOTICE CAREFULLY. DO NOT SIGN THIS CONTRACT UNTIL YOU FULLY UNDERSTAND THE RISKS YOU ARE ASSUMING. BY PURCHASING THIS PROPERTY, YOU MAY BE ASSUMING ECONOMIC RISKS OVER AND ABOVE THE RISKS INVOLVED IN PURCHASING INLAND REAL PROPERTY. IF YOU OWN A STRUCTURE LOCATED ON COASTAL REAL PROPERTY NEAR A GULF COAST BEACH, IT MAY COME TO BE LOCATED ON THE PUBLIC BEACH BECAUSE OF COASTAL EROSION AND STORM EVENTS. AS THE OWNER OF A STRUCTURE LOCATED ON THE PUBLIC BEACH, YOU COULD BE SUED BY THE STATE OF TEXAS AND ORDERED TO REMOVE THE STRUCTURE. THE COSTS OF REMOVING A STRUCTURE FROM THE PUBLIC BEACH AND ANY OTHER ECONOMIC LOSS INCURRED BECAUSE OF A REMOVAL ORDER WOULD BE SOLELY YOUR RESPONSIBILITY."<sup>374</sup>

#### **B.** Tax Incentives

The State of Hawai'i uses tax incentive programs to encourage certain types of growth and development including agriculture,<sup>375</sup> renewable energy technologies,<sup>376</sup> and public uses.<sup>377</sup> In urban coastal areas, land dedicated for landscaping, open spaces, and public recreation (i.e., parks, playgrounds, historical sites, campgrounds, wildlife refuges, scenic sites, and other similar uses) is tax exempt so long as the land is located outside the setback, with the exclusion of historical sites, and does not fall within open space requirements of applicable zoning ordinances and building codes.<sup>378</sup> Tax incentives include preferential

assessment programs (which offer lower tax assessments to landowners who agree to preserve their property for certain uses), tax abatement/deferment programs (which freeze increases in property taxes where the property is used for a particular preferred purpose), and tax credit programs (which provide one-time credits).<sup>379</sup>

## 1. Recommendations and Next Steps

The Hawai'i Legislature should consider adopting statutes enabling state and local governments to develop tax incentive programs for climate change and sea-level rise adaptation. Incentives could be used to encourage the following types of development:

- Landward relocation,
- *Retrofitting* to increase flood resiliency,
- Siting new development in *upland areas*,
- Conservation of open space along the shoreline,<sup>380</sup> and
- Preservation or restoration of *natural flood buffers*.<sup>381</sup>

The **Kailua Plan** recommends encouraging and supporting dune restoration efforts with grants or through a conservation easement program that provides tax relief or credits to landowners.<sup>382</sup>

**Hawai'i** tax laws incentivize renewable energy and energy efficiency, in part to reduce GHG emissions. Incentives include priority permitting for green buildings, alternative energy loans for farm and aquaculture, and rebates for energy-efficient appliances.<sup>383</sup> The Hawai'i Legislature also has enacted a major incentive program to promote agricultural viability, sustained growth of the agricultural industry, and the long-term use and protection of important agricultural lands for agricultural use.<sup>384</sup> The program comprises seven incentives, which include tax credits, loan guarantees, expedited permitting, and land reclassifications.<sup>385</sup>

# 2. Overcoming Barriers

Although tax incentives may lack support in times of budget shortfalls, they are a proven policy tool to achieve key social, economic, and environmental objectives.<sup>386</sup> Creating financial incentive programs for sea-level rise and other climate change impacts, however, will require decision-makers to establish clear priorities regarding the type of development to be encouraged or discouraged in particular areas.<sup>387</sup>

# C. Transfer of Development Rights Programs

Under transfer of development rights ("TDR") programs, landowners may voluntarily sell some or all rights to develop properties located in "sending areas," or areas where development is less desirable, and transfer those rights to properties located in "receiving areas," or areas where development is more desirable.<sup>388</sup> Buyers may use credits to exceed development densities, floor areas, and building heights in receiving areas.<sup>389</sup> Conservation easements are placed upon sending area properties to ensure permanent

protection from development. Local governments typically design TDR programs to encourage growth away from agricultural land, environmentally sensitive areas, open space, or other significant regions and to concentrate growth in more appropriate areas. Governments also use TDR programs to protect historical landmarks and preserve affordable housing.<sup>390</sup> Purchase of development rights ("PDR") programs generally allow non-profit land trusts or local government agencies to buy development rights from willing sellers. PDR agreements impose deed restrictions that limit the types of activities that may take place on properties in perpetuity, thus operating similar to conservation easements.<sup>391</sup>

Hawai'i state law grants counties authority to develop TDR programs to protect "the natural, scenic, recreational, and agricultural qualities of open lands" and to "[e]nhance sites and areas of special character or special historical, cultural, aesthetic, or economic interest or value."<sup>392</sup> It appears no county has yet implemented a robust TDR program. The City and County of Honolulu, however, allows transfer of development rights to preserve historic properties<sup>393</sup> and Maui County is currently considering TDR and PDR programs to fulfill the General Plan 2030 objective of developing a directed growth strategy. The draft Maui County Island Plan recommends developing TDR and PDR programs to acquire shoreline lands, as well as to facilitate self-sufficient and sustainable communities, protect critical habitats, and enhance agricultural, historical, and cultural resources.<sup>394</sup>

## 1. Recommendations and Next Steps

All counties should consider adopting ordinances for TDR programs that facilitate sea-level rise adaptation. Implementation would require counties to:

- *Designate sending areas* (e.g., areas vulnerable to sea-level rise and coastal hazards, areas containing natural flood buffers, or areas that have experienced repeated or heavy storm damage);
- *Designate receiving areas* (e.g., areas located upland or inland that would be more desirable for development);
- *Calibrate credit values* by balancing the market value of lots in receiving areas with the market value of lots in sending areas;<sup>395</sup>
- Develop a pool of development rights that are legally severable from the land; and
- *Establish a procedure for transferring rights* from one party to another (either through private transactions or a publicly owned and operated TDR bank).<sup>396</sup>

Acknowledging TDR and PDR program development as a "key feature" of **Maui County**'s directed growth strategy, the county conducted a **TDR implementation study** for the **Maui Island Plan** that includes case studies and detailed recommendations for a Maui TDR and PDR program. The program would fulfill several general plan objectives including preservation of prime agricultural lands that function as greenways and open space buffers and preservation of shoreline lands.<sup>397</sup> The study also produced TDR and PDR model ordinances.<sup>398</sup>

## 2. Overcoming Barriers

Although TDR programs are cost-effective, resilient to takings challenges, and encourage "smart growth," designing such programs and drafting ordinances can be challenging.<sup>399</sup> Maui County's TDR

implementation study has identified nine success factors common to some of the most effective TDR programs and six general legal areas to consider when designing and operating a TDR program.<sup>400</sup> To contribute to achieving Hawai'i's climate change adaptation objectives, these success factors and legal areas should be consulted in the development and implementation of TDR programs in the other counties.

# IX. CONCLUSION

Sea-level rise requires leadership and bold action by Hawai'i state and local governments, including skillful implementation of land use policy tools supported by the best-available science. As shown in this Tool Kit, decision-makers can utilize a wide range of policy tools and measures to shape Hawai'i's response to sea-level rise in the coming decades. Some of these tools, such as the HCZMA, shoreline construction setbacks, zoning overlays, and environmental review are well established and widely employed to regulate land use and development along the coastline – often to protect life and property from the same types of coastal hazards that will be increasingly exacerbated by sea-level rise. Decision-makers should consider climate change and sea-level rise when utilizing these tools and further modify them as necessary to meet current goals for accommodation, protection, and retreat.

Emerging and innovative approaches to sea-level rise adaptation also merit consideration by state and local governments. Rolling easement statutes, for example, combine different policy tools to achieve managed retreat while fostering protection and adaptation. In addition, CCCLs, cluster development, TDR programs, and rolling conservation easements, though not yet widely adopted, present potentially viable and useful solutions. Any barriers to implementation of both established and innovative measures should be viewed in light of the magnitude of potential harm to Hawai'i's unique natural and cultural resources posed by climate change.

Despite obstacles, sea-level rise and climate change adaptation and planning are necessary to safeguard public health and safety as well as Hawai'i's environmental and economic interests, both now and in the future. As shown in this Tool Kit, a wide range of policy tools is available to effectively address the issues and achieve the social and economic benefits of proactive efforts to confront climate change. Leadership and action by means of the policy tools discussed in this Tool Kit will not only address the myriad challenges posed by sea-level rise, but may in the process reveal potential opportunities unique to Hawai'i's efforts to adapt to a changing world.

## **APPENDIX A: ACTION MATRIX**

To facilitate action, this Appendix provides an action matrix for each of the three major approaches to sea-level rise adaptation: accommodation, protection and retreat. The "Policy Tool" column ranks each tool based on impact and feasibility, with the highest ranking tools first. The middle columns identify "Initial Steps" and "Lead Agencies." The "Time Frame" column estimates the implementation time period for each policy tool. "Immediate" means 1 year, "Near-Term" means 1 to 2 years, and "Longer-Term" means 2 to 4 years.

Policy Tool Ranking	Initial Steps	Lead Agency	Time Frame
Pre-Disaster Mitigation Plans	<ul> <li>Upon completion of SLR risk and vulnerabilities assessments, seek FEMA funding to develop PDM projects for areas and infrastructure particularly vulnerable to amplified impacts.</li> <li>Consider a 1-foot-by-2050 and 3-feet-by-2100 SLR benchmark when updating and developing PDM plans and projects.</li> </ul>	State and county civil defense agencies	<ul><li>Immediate</li><li>Near-Term</li></ul>
Zoning and Overlay Zones	Develop recommendations for establishing accommodation overlay zones in which local governments will limit the intensity and density of new development and require retrofitting new structures to be more resilient to inundation.	Adaptation Task Force or Lead Agency (as proposed in this Tool Kit)	Longer-Term
Floodplain Regulations	<ul> <li>Utilize best-available SLR and coastal hazard data to extend NFIP regulations beyond the historic 100-year floodplain.</li> <li>Research opportunities for participating in the FEMA CRS program to qualify affected homeowners for discounts on flood insurance.</li> </ul>	County councils	Near-Term
Building Codes	Require amendments to state and county building codes that consider a 1-foot-by-2050 and 3-feet-by-2100 SLR benchmark.	Hawaiʻi Legislature	Near-Term
NFIP Resilient Design Requirements	<ul> <li>Increase NFIP elevation requirements and apply requirements to areas beyond the 100-year floodplain.</li> <li>Research opportunities for participating in the FEMA CRS program to qualify affected homeowners for discounts on flood insurance.</li> </ul>	County councils	Near-Term
Land Development Conditions –Subdivisions	Develop recommendations for amending HRS ch. 46 to require counties to adopt ordinances that impose development conditions upon subdivisions that mitigate the impacts of a 1-foot-by-2050 and 3-feet-by-2100 SLR benchmark.	ICAP	Near-Term

#### Appendix A-1: Action Matrix – Accommodation

Policy Tool Ranking	Initial Steps	Lead Agency	Time Frame
Land Development Conditions –SMA Permits	Under the authority of HRS § 205A-26, impose development conditions upon SMA permits that minimize the impacts of exacerbated flooding, storm surge, and erosion due to SLR.	County permitting authorities	Immediate
Environmental Review	Amend HRS ch. 343 and applicable administrative rules to explicitly incorporate review of SLR and climate change impacts of a proposed action or development project.	Hawaiʻi Legislature	Immediate
Capital Improvement Programs	Require consideration of multiple scenarios of SLR when developing and approving CIPs.	Executive Order	Immediate
Tax Incentives	Develop tax incentive program for developers and property owners who retrofit structures to be more resilient to SLR impacts than state and county building codes and floodplain regulations require.	State of Hawaiʻi Department of Taxation	Longer-Term

# Appendix A-2: Action Matrix – Protection

Policy Tool Ranking	Initial Steps	Lead Agency	Time Frame
Zoning and Overlay Zones	Develop recommendations for establishing protection overlay zones in areas containing critical infrastructure and dense urban development where local governments will permit coastal armoring and require beach non-structural armoring where feasible.	Adaptation Task Force or Lead Agency (as proposed in this Tool Kit)	Longer-Term
Hard Armoring	<ul> <li>Identify critical infrastructure or areas where hard armoring will be permitted.</li> <li>Impose conditions that limit future repairs, rebuilding, and strengthening when granting variances for hard armoring structures.</li> </ul>	<ul> <li>Adaptation Task Force or Lead Agency (as proposed in this Tool Kit)</li> <li>County permitting authorities</li> </ul>	<ul><li>Longer-Term</li><li>Immediate</li></ul>
Non-Structural Armoring	Identify areas where non-structural armoring will be permitted.	DLNR Office of Conservation and Coastal Lands	Immediate
Capital Improvement Programs	Require consideration of multiple scenarios of SLR when developing and approving capital improvement programs.	Executive Order	Immediate

# Appendix A-3: Action Matrix – Retreat

Policy Tool Ranking	Initial Steps	Lead Agency	Time Frame
Hawaii Coastal Zone Management Act	<ul> <li>Utilize existing objectives and policies for reducing threats from coastal hazards to implement SLR retreat measures in the SMA.</li> <li>Develop recommendations for establishing SLR retreat policies and objectives.</li> </ul>	<ul> <li>County councils and permitting authorities</li> <li>ORMP Policy/ Working Group, UH Sea Grant, ICAP</li> </ul>	<ul><li>Immediate</li><li>Near-Term</li></ul>
Comprehensive Plans	<ul> <li>Utilize existing state objectives and policies for sustainability and reducing threats from coastal hazards to accommodate a 1-foot-by-2050 and 3-feet-by-2100 SLR benchmark in state and county plans and programs.</li> <li>Amend State Plan and county plans to encourage retreat in areas vulnerable to SLR.</li> </ul>	<ul> <li>State and county planning departments and agencies</li> <li>Hawai'i Legislature and county planning departments</li> </ul>	• Immediate • Near-Term
Pre-Disaster Mitigation Plans	<ul> <li>Upon completion of SLR risk and vulnerabilities assessments, seek FEMA funding to develop PDM projects for areas and infrastructure particularly vulnerable to amplified impacts.</li> <li>Consider a 1-foot-by-2050 and 3-feet-by-2100 SLR benchmark when updating and developing PDM plans and projects.</li> </ul>	State and county civil defense agencies	• Immediate • Near-Term
Zoning and Overlay Zones	Establish retreat zones that prohibit shoreline armoring and encourage property owners to relocate structures upland through tax incentives, acquisition, or conservation easement programs.	Adaptation Task Force or Lead Agency (as proposed in this Tool Kit)	Longer-Term
Floodplain Regulations	<ul> <li>Impose more stringent use restrictions in flood-prone areas.</li> <li>Research opportunities for participating in the FEMA CRS program to qualify affected homeowners for discounts on flood insurance.</li> </ul>	County councils	Near-Term
Shoreline Construction Setbacks	<ul> <li>Remove state maximum 40-foot setback to account for structures located in state conservation district.</li> <li>Implement erosion-based setbacks that account for the lifespan of structures for each county, where appropriate, and allow for adjustments based upon best-available SLR data.</li> </ul>	<ul><li>Hawaiʻi Legislature</li><li>County councils</li></ul>	Immediate
Coastal Construction Control Line	<ul> <li>Analyze CCCL concept within Hawai'i legal framework.</li> <li>Identify research needs for implementing CCCLs and areas where CCCLs would be appropriate.</li> </ul>	• ICAP • UH Sea Grant/ DLNR Office of Conservation and Coastal Lands	Near-Term

Policy Tool Ranking	Initial Steps	Lead Agency	Time Frame
Rebuilding Restrictions	Strengthen rebuilding restrictions for nonconforming structures.	County councils	Immediate
Subdivision Approvals	Utilize available data to protect public health and safety by restricting residential subdivisions in areas prone to current and future coastal hazards such as subsidence, erosion, storm surge, and SLR.	County planning and permitting authorities	Near-Term
Cluster Development	Adopt cluster development ordinances that restrict development in low-lying areas or in areas containing natural flood buffers. Clustering could be mandatory or incentivized.	County councils	Near-Term
Environmental Review	Amend HRS ch. 343 and applicable administrative rules to explicitly incorporate review of SLR and climate change impacts of a proposed action or development project.	Hawaiʻi Legislature	Immediate
Rolling Easement Statutes	Develop rolling easement policy to incorporate into State Plan or HCZMA that establishes SLR retreat goals.	UH Sea Grant, ICAP	Near-Term
Buffer Zones	Identify and map natural inundation buffers (e.g., sand dunes and wetlands) requiring protection and establish mandatory buffer distances.	DLNR Office of Conservation and Coastal Lands, UH Sea Grant, Adaptation Task Force or Lead Agency (as proposed in this Tool Kit)	Near-Term
Capital Improvement Programs	Require consideration of multiple scenarios of SLR when developing and approving capital improvement programs.	Executive Order	Immediate
Land Acquisitions	Amend Hawai'i Legacy Land Conservation Program to prioritize land threatened by SLR inundation, erosion, and other coastal hazards with the purpose of protecting public health and safety.	Hawaiʻi Legislature	Longer-Term
Conservation Easements	Amend HRS ch. 198 to authorize non-profit organizations to hold conservation easements for the purpose of protecting life and property from coastal hazards and inundation due to climate change and SLR.	Hawaiʻi Legislature	Longer-Term
Rolling Conservation Easements	Analyze rolling conservation easement concept within Hawai'i legal framework.	ICAP	Immediate

Policy Tool Ranking	Initial Steps	Lead Agency	Time Frame
Mandatory Real Estate Disclosures	<ul> <li>Require disclosure of erosion rates for coastal properties.</li> <li>Upon completion of SLR risk and vulnerability assessments, require disclosure of such information for properties located in coastal high hazard areas.</li> </ul>	Hawaiʻi Legislature	<ul><li>Immediate</li><li>Near-Term</li></ul>
Tax Incentives	Develop tax incentive program for developers and property owners who relocate structures landward, site development in upland areas, conserve open space along the shoreline, and/or preserve or restore natural flood buffers.	State of Hawaiʻi Department of Taxation	Longer-Term
Transfer of Development Rights Programs	Develop TDR programs that facilitate SLR retreat.	County planning departments	Longer-Term

# APPENDIX B: SELECTED SEA-LEVEL RISE ADAPTATION RESOURCES AND INITIATIVES

# Appendix B-1: Federal Resources and Initiatives

Agency	Action	Date	Website
Environmental Protection Agency (EPA) – Climate Ready Estuaries	Rolling Easements (James G. Titus)	2011	http://www.epa.gov/cre/downloads/rollingeasementsprimer.pdf
National Aeronautics and Space Administration (NASA) – Global Climate Change	Generates climate change and sea-level rise data	Ongoing	http://climate.nasa.gov/
NOAA – Climate Services	Produces global, national, and regional climate data and maps and educational resources	Ongoing	http://www.climate.gov/#climateWatch
NOAA – Coastal Services Center (CSC)	<ul> <li>Coastal Climate Adaptation website</li> <li>Digital Coast website</li> <li>Coastal Sea-Level Change and Societal Challenge Needs Assessment Report</li> </ul>	<ul> <li>Ongoing</li> <li>Ongoing</li> <li>September 2011</li> </ul>	http://collaborate.csc.noaa.gov/climateadaptation/default.aspx http://www.csc.noaa.gov/digitalcoast/index.html http://www.floods.org/ace-files/documentlibrary/ committees/Coastal/NOAA_Coastal_Sea_Level_Change_ Societal_Challenge_Needs_Assessment_Report.pdf
NOAA – National Climatic Data Center (NCDC)	Produces climate publications	Ongoing	http://www.ncdc.noaa.gov/oa/about/about.html
NOAA – Office of Ocean and Coastal Resource Management (OCRM)	Adapting to Climate Change: A Planning Guide for State and Coastal Managers	2010	http://coastalmanagement.noaa.gov/climate/adaptation.html http://coastalmanagement.noaa.gov/climate/docs/ adaptationguide.pdf
NOAA – Pacific Climate Information System (PaCIS)	Provides a programmatic framework for integrating ongoing and future climate observations, operational forecasting services and climate projections, research, assessment, data management, outreach, and education into planning efforts throughout the U.S. and affiliated Pacific islands	Ongoing	http://www.noaaclimatepacis.org/old/leftmenuitem. php?content=history
NOAA – Pacific Services Center (PSC)	Provides services and information for Pacific and coastal communities	Ongoing	http://www.csc.noaa.gov/psc/about.html

Agency	Action	Date	Website
Pacific Islands Climate Change Cooperative (PICC)	Provides climate change science and technical tools for Pacific island decision- makers	Ongoing	http://www.piccc.net/
Pacific Regional Integrated Sciences and Assessments (Pacific RISA)	Provides climate change stakeholder workshops, climate forecasts and applications, risk assessments, research, education, training, etc. for Pacific island and coastal communities	Ongoing	http://www.pacificrisa.org/cms/
USACE	Water Resource Policies and Authorities Incorporating Sea-Level Change in Civil Works Programs	2010	http://www.dbw.ca.gov/csmw/pdf/EC_Sea_Level_Change.pdf
U.S. Global Change Research Program	<ul> <li>Coordinates and integrates federal research on changes in the global environment and their implications for society</li> <li>Includes information on adaptation sciences, climate services, communication and education, modeling, human health, strategic planning, etc.</li> </ul>	Ongoing	http://www.globalchange.gov/
U.S. Geological Survey (USGS) – Office of Global Change	Provides climate change and sea- level rise data, maps, imagery, and publications	Ongoing	http://www.usgs.gov/global_change/
White House Council on Environmental Quality (CEQ)	• Progress Report of the Interagency Climate Change Adaptation Task Force: Federal Actions for a Climate Resilient Nation	• 2011	http://www.whitehouse.gov/sites/default/files/microsites/ ceq/2011_adaptation_progress_report.pdf
	• Progress Report of the Interagency Climate Change Adaptation Task Force: Recommended Actions in Support of a National Climate Adaptation Strategy	• 2010	http://www.whitehouse.gov/ceq/initiatives/adaptation

# Appendix B-2: Hawai'i State and Local Resources and Initiatives

Agency	Action	Date	Website
CZM Program for Marine and Coastal Affairs	<ul> <li>Reviews and periodically updates the ORMP</li> <li>Coordinates overall implementation of ORMP</li> <li>Facilitates policy groups and working groups with members from federal, state, and county agencies and non-governmental organizations to coordinate ORMP implementation measures</li> </ul>	Ongoing	http://hawaii.gov/dbedt/czm/ormp/ormp.php
Honolulu Board of Water Supply	Watershed Management Plans for Koʻolau Loa and Waiʻanae	2009	http://www.boardofwatersupply.com/files/KL_WMP_PreFinal_ Plan_072709_rev2.pdf http://www.boardofwatersupply.com/files/WWMP_Final_Report_ Full_Aug2009.pdf
State of Hawai'i Department of Transportation, Harbors Division	Hawaiʻi Island Commercial Harbors 2035 Master Plan	Forthcoming	http://www.hawaiiharborsplan.com/master_plan_process.htm
ORMP Working Group (Established under the CZM)	A Framework for Climate Change Adaptation in Hawaii	2009	http://icap.seagrant.soest.hawaii.edu/sites/seagrant.soest.hawaii.edu/ files/publications/climate_change_adaptation_framework_2009_2. pdf
UH Sea Grant and DLNR	Kailua Beach and Dune Management Plan	2010	http://seagrant.soest.hawaii.edu/kailua-beach-and-dune- management-plan

## APPENDIX C: SELECTED HAWAI'I SEA-LEVEL RISE RESEARCH AND STUDIES

Organization	Study	Website
UH Sea Level Center	Collects, processes, analyzes, and distributes tide-gauge date from Hawai'i and around the world to support climate change and sea-level rise research.	http://ilikai.soest.hawaii.edu/uhslc/background.html
UH International Pacific Research Center	Conducts studies to improve understanding of the nature and predictability of climate change variations in the Asia-Pacific Region.	http://iprc.soest.hawaii.edu
UH Coastal Geology Group	Compiled shoreline erosion data for O'ahu, Maui, and Kaua'i, which will be released as a US Geological Survey open report. Includes shoreline erosion maps with information on vulnerability to coastal hazards, which can be used as an overlay of coastal inundation and sea-level rise inundation to assess hazards exposure.	http://www.soest.hawaii.edu/coasts/erosion/
UH Sea Grant	Study to identify and prioritize research and information necessary for addressing coastal problems and protecting coastal resources in the Pacific region.	http://www.soest.hawaii.edu/SEAGRANT/ResearchNeeds/Home. html

#### Appendix C-1: Related to Sea-Level Rise Variability and Change
## **Organization** Study O'ahu Metropolitan Planning • Study to validate conceptual model for determining the effects of climate change on transportation infrastructure. See http://www.oahumpo.org/reports/transportation vulnerability due to climate change.html Organization (forthcoming) UH Coastal Geology Group • Hourly exceedance study estimating the number of hours per year that critical areas would become inundated under three sea-level rise scenarios. (forthcoming) • Produce risk and vulnerability maps depicting impacts. **UH Pacific Islands Climate** • Will provide land managers in federal, state, and local agencies access to best-available science regarding the impacts of climate change and other landscape-scale stressors. Will expand climate science capabilities without Science Center (forthcoming) building new facilities or duplicating existing capabilities and collaborate with a consortium of partners across the entire science community. See http://www.doi.gov/news/pressreleases/Secretary-Salazar-Names-Universityof-Massachusetts-Amherst-to-Host-Northeast-Climate-Science-Center.cfm • Risk and vulnerability assessment of sea-level rise in Hawai'i, beginning with the low-lying, urban corridor UH Sea Grant, funded by the NOAA Coastal Storms Program between Diamond Head and Pearl Harbor in Honolulu. (CSP) (forthcoming) • Identify infrastructure, map potential flooding and coastal inundation at the confluence of high tides and rainfall. • Model storm surge inundation under 1-foot, 2-foot, and 3-foot sea-level rise scenarios. • Communicate study results to local emergency and resource managers, decision-makers, and affected communities to provide guidance for land use and emergency management planning.

## Appendix C-2: Related to Sea-Level Rise Impacts, Risks, and Vulnerabilities

## Endnotes

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- <sup>188</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 28.
- <sup>189</sup> Chatham, Mass., Protective Bylaw § IVA.
- <sup>190</sup> Wes Shaw, Mass. Office of Coastal Zone Mgmt., Case Study A Cape Cod Community Prevents New Residence in Floodplains (Ann Donovan ed. 2008), available at http://www.mass.gov/czm/stormsmart/resources/stormsmart\_chatham.pdf (citing Gove v. Zoning Bd. of Appeals of Chatham, 831 N.E.2d 865 (Mass. 2005)).
- <sup>191</sup> Falmouth, Mass., Wetland Regs. 10.00.
- <sup>192</sup> Kem Lowry, 11th International Congress, Asian Planning Schools Association ("APSA"), Sustaining Hawaii's Beaches, Climate Change and Land Use 7 (2011).
- <sup>193</sup> Nichols & Bruch, *supra* note 90, at 32. To implement climate change adaptation strategies included in the New York City Comprehensive Waterfront Plan, the city will work with FEMA and the insurance industry to encourage consideration of more accurate data on current and future flood and storm risks and partner with FEMA to update FIRM maps. NYC Plan, *supra* note 154, at 113.
- <sup>194</sup> Haw. Rev. Stat. § 205A-1.
- <sup>195</sup> Id. § 205A-43(a).
- <sup>196</sup> See id. § 205A-45(a).
- <sup>197</sup> Hwang & Burkett, *supra* note 19, at 6 (citing C.M. Anderson, Fed. Ins. Admin. Dep't of Hous. and Urban Dev., Final Report Coastal Residential Structures Life Time Determination 87 (1978)).
- <sup>198</sup> Codiga, Hwang & Delaunay, *supra* note 61, at 11.
- <sup>199</sup> Hwang & Burkett, *supra* note 19, at iii.
- <sup>200</sup> Maui Cnty., Haw., Shoreline R. Planning Comm'n § 12-203-6.
- <sup>201</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances § 22-1.4, *available at* http://www1.honolulu.gov/council/ocs/roh/22.pdf. <sup>202</sup> *Id.* § 23-1.6.
- <sup>203</sup> Haw. Cnty., Haw., Planning Dep't R. 11-5.
- <sup>204</sup> Hwang & Burkett, *supra* note 19, at 13.
- <sup>205</sup> 06-096 Me. Code R. ch. 355 § 5.
- <sup>206</sup> California Natural Resources Agency, 2009 California Climate Adaptation Strategy: A Report to the Governor of the State of California in Response to Executive Order S-13-2008 (2009), *available at* http://www.climatechange.ca.gov/adaptation/count/click. php [hereinafter 2009 California Adaptation].
- <sup>207</sup> Codiga, Hwang & Delaunay, *supra* note 61, at 10-11.
- <sup>208</sup> DLNR & UH Sea Grant, Kailua Beach and Dune Management Plan, Final Report 30-33 (2010), *available at* http://seagrant.soest.hawaii.edu/files/publications/kailua\_beach\_mgmt\_plan.pdf [hereinafter Kailua Plan].
   <sup>209</sup> Id. at 33.
- <sup>210</sup> Fla. Stat. § 161.053.
- <sup>211</sup> Id.; see also Fla. Admin. Code Ann. § 62B-33.
- <sup>212</sup> Fla. Stat. § 161.053.
- <sup>213</sup> Haw. Rev. Stat. § 205A-44.
- <sup>214</sup> See Codiga, Hwang & Delaunay, *supra* note 61, at 13; City and Cnty. of Honolulu, Haw. Rev. Ordinance § 23-1, *available at* http://www1.honolulu.gov/council/ocs/roh/23.pdf; Kaua'i Cnty., Haw., Code § 8-27.

- <sup>215</sup> Haw. Rev. Stat. § 205A-46.
- <sup>216</sup> Codiga, Hwang & Delaunay, *supra* note 61, at 13.
- <sup>217</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances §§ 23-1.1, 1.8.
- <sup>218</sup> San Francisco Bay Plan, *supra* note 59, at 21.
- <sup>219</sup> Haw. Rev. Stat. § 205A-46.
- $^{220}$  Id.
- <sup>221</sup> San Francisco Bay Plan, *supra* note 59, at 22-23.
- <sup>222</sup> The State of R.I., Coastal Resources Management Program, Sec. 300.7(B) (2000).
- <sup>223</sup> S.C. Code Ann. Regs. 30-1.
- <sup>224</sup> *Id.* at 30-21.
- <sup>225</sup> City of Malibu Local Coastal Program, Local Implementation Plan, § 4.43 (2002), *available at* http://www.coastal.ca.gov/ventura/malibu-lip-final.pdf .
- <sup>226</sup> Megan Higgins, Legal and Policy Impacts of Sea Level Rise to Beaches and Coastal Property, 1 Sea Grant L. & Pol'y J. 43, 53 (2008).
- <sup>227</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances § 21-4.110; Maui Cnty., Haw., Code §19.500.110(b); Hawai'i Cnty., Haw., Code § 25-4-63.
- <sup>228</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances § 21-9.10; Hawai'i Cnty., Haw., Code ch. 27-13.
- <sup>229</sup> 06-096-355 Me. Code R. § 6.
- <sup>230</sup> S.C. Code Ann. §§ 48-39-270, 48-39-280, 48-39-290.
- <sup>231</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 40.
- <sup>232</sup> Building Code Council, State of Haw. Dep't of Accounting & Gen. Services, http://hawaii.gov/dags/bcc (last visited Aug. 5, 2011).
- <sup>233</sup> Haw. Rev. Stat. § 107-24.
- <sup>234</sup> Id. § 107-25.
- <sup>235</sup> Id. § 107-28.
- <sup>236</sup> Haw. Admin. R. § 3-180.
- <sup>237</sup> See City and Cnty. of Honolulu, Haw., Rev. Ordinances § 21-9.10; Maui Cnty, Haw., Code § 19.62; Hawai'i Cnty., Haw., Code ch. 27; Kaua'i Cnty., Haw., Code § 8-12.
- <sup>238</sup> NFIP Program Description, *supra* note 172, at 13-14.
- <sup>239</sup> NOAA, Planning Guide, *supra* note 52, at 75.
- <sup>240</sup> See City and Cnty. of Honolulu, Haw., Rev. Ordinances § 21-9.10; Maui Cnty., Haw., Code § 19.62; Hawai'i Cnty., Haw., Code ch. 27.
- <sup>241</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 28.
- <sup>242</sup> NOAA, Planning Guide, *supra* note 52, at 75.
- <sup>243</sup> R.I. Gen. Laws § 23-27.3-100.1.5.5.
- <sup>244</sup> NOAA, Planning Guide, *supra* note 52, at 73.
- <sup>245</sup> Nichols & Bruch, *supra* note 90, at 30.
- <sup>246</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 47 (citing FEMA, Coastal Construction Manual (FEMA 55): Principles and Practices of Planning, Siting, Designing, Constructing, and Maintaining Residential Buildings in Coastal Areas (3rd ed. 2000), *available at* http://www.fema.gov/rebuild/mat/fema55.shtm ).
- <sup>247</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances § 22-3.4; Hawai'i Cnty., Haw., Code § 23-23; Maui Cnty., Haw., Code § 18.04.109.
- <sup>248</sup> Dennis J. Hwang, CZM, Hawaii Coastal Hazard Mitigation Guidebook 109 (2005) [hereinafter Hwang, Hazard Mitigation].
- <sup>249</sup> Hwang & Burkett, *supra* note 19, at 6-7.
- <sup>250</sup> Hawai'i Cnty., Haw., Code § 23-37.
- <sup>251</sup> Malibu, Cal., Local Coastal Program Ordinances ch. 10.4(A).
- <sup>252</sup> Id. at ch. 10.4(Q).
- <sup>253</sup> See Dennis J. Hwang, Hawaii Cnty. Planning Dep't, Coastal Subsidence in Kapoho, Puna, Island and State of Hawaii (2007), available at http://hawaii.gov/dlnr/occl/manuals-reports/Coastal%20Subsidence%20Final.pdf.
- <sup>254</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 41.
- <sup>255</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances § 21-3.50-2.
- <sup>256</sup> Id. § 21-3.60-1.
- <sup>257</sup> *Id.* § 21-8.50-1.
- <sup>258</sup> Maui Cnty., Haw., Code § 19.83.010.
- <sup>259</sup> Hawai'i Cnty., Haw., Code § 25-6-20.
- <sup>260</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 42.
- <sup>261</sup> *Id.* at 42-43.
- <sup>262</sup> 2009 California Adaptation, *supra* note 206, at 73.
- <sup>263</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 44.
- <sup>264</sup> Callies, *supra* note 115, at 90.
- <sup>265</sup> Haw. Rev. Stat. § 205A-26.

- <sup>266</sup> *Id.* § 46-6.
- <sup>267</sup> Id. § 46-6.5.
- <sup>268</sup> Id. § 46-142.
- <sup>269</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 36.
- <sup>270</sup> Hwang, Hazard Mitigation, *supra* note 248, at 117 (2005).
- <sup>271</sup> Meg Caldwell & Craig Holt Segall, No Day at the Beach: Sea Level Rise, Ecosystem Loss, and Public Beach Access Along the California Coast, 34 Ecology L.Q. 533, 563-565 (2007).
- <sup>272</sup> Callies, *supra* note 115, at 93.
- <sup>273</sup> See Haw. Rev. Stat. § 343-1.
- <sup>274</sup> See generally id. ch. 343.
- <sup>275</sup> *Id.* § 343-5.
- <sup>276</sup> *Id.* § 343-2.
- <sup>277</sup> Id. § 343-5.
- <sup>278</sup> See Karl Kim et al., UH, Final Report on Hawaii's Environmental Review System, Appendix 7-15 (2010).
- <sup>279</sup> H.B. 2103, 2008 Leg., 24th Sess. (Haw. 2008).
- <sup>280</sup> Id. at para. 1.
- <sup>281</sup> *Id.* at para. 2.
- $^{282}$  Id.
- <sup>283</sup> Mass. Gen. Laws ch. 30, § 61.
- <sup>284</sup> Cal. Pub. Res. Code § 21083(b); Polly Towill & Olivier Theard, The Global Warming Solutions Act (AB 32): *Raising the Temperature of California Business*, Cal. Envtl. Insider, Nov. 15, 2007, at 5.
- <sup>285</sup> Steven Jones, King County (WA) First in the Nation To Require Climate Change Impacts To Be Considered During Environmental Review of New Projects, Marten L. Group Envtl. News, Aug. 1, 2007, http://www.martenlaw.com/news/?20070801-climate-separeview.
- <sup>286</sup> Massachusetts v. EPA, 549 U.S. 497 (2007).
- <sup>287</sup> Linda Larson, *Local Governments Use Both Carrots and Sticks to Encourage Green Buildings*, Marten L. Group Envtl. News, Dec. 5, 2007, http://www.martenlaw.com/news/?20071205-green-bldgs-encouraged.
- <sup>288</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 55-56.
- <sup>289</sup> James G. Titus, Climate Ready Estuaries, Environmental Protection Agency, Rolling Easements 5 (2011), available at http://www. epa.gov/cre/downloads/rollingeasementsprimer.pdf.
- <sup>290</sup> *Id.* at 6-7.
- <sup>291</sup> See id. (describing numerous approaches for implementing such transfers, including affirmative easements, conservation easements, restrictive covenants, future interests, migrating property lines, legislative or judicial revisions, or transferable development rights).
  <sup>292</sup> Id. at 4.
- <sup>293</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 56-57.
- <sup>294</sup> See Titus, supra note 289, at 14.
- <sup>295</sup> Tex. Nat. Res. Code Ann. § 61.011.
- <sup>296</sup> Id. § 61.012.
- <sup>297</sup> Titus, *supra* note 289, at 46.
- <sup>298</sup> Tex. Nat. Res. Code Ann. § 61.013.
- <sup>299</sup> Id. § 61.017.
- <sup>330</sup> *Id.* § 61.0183.
- <sup>301</sup> *Id.* § 61.0185.
- <sup>302</sup> *Id.* § 61.025.
- <sup>303</sup> Titus, *supra* note 289, at 45.
- <sup>304</sup> Codiga, Hwang & Delaunay, *supra* note 61, at 15.
- <sup>305</sup> Grannis, *supra* note 86, at 51; *see also* Nichols & Bruch, *supra* note 90, at 33.
- <sup>306</sup> See Beach Nourishment Projects, State of Haw., DLNR, http://hawaii.gov/dlnr/occl/projects/beach-nourishment (last visited Aug. 5, 2011) (listing state-funded beach nourishment projects in Hawai'i).
- <sup>307</sup> See generally Waihe 'e Refuge, Maui Coastal Land Trust, http://www.mauicoastallandtrust.org/waihee.php (last visited Aug. 22, 2011).
- <sup>308</sup> Kailua Plan, *supra* note 208, at 40-41.
- 309 Fla. Stat. § 161.101.
- <sup>310</sup> Malibu, Cal., Local Coastal Program Ordinances ch. 10.4.
- <sup>311</sup> Md. Code Ann., Envir. § 16-201.
- <sup>312</sup> Nichols & Bruch, *supra* note 90, at 33.
- <sup>313</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 52.
- <sup>314</sup> Haw. Admin. R. § 11-23-10(a)(1).
- <sup>315</sup> *Id.* § 13-277-4.

- <sup>316</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 32.
- <sup>317</sup> See Nichols & Bruch, *supra* note 90, at 33-34 (explaining that wetlands reduce tidal amplitude, dissipate wave energy, and store excess water and that dunes provide a natural buffer against erosion, shelter beachfront development, and reduce threat from high water events).
- <sup>318</sup> Shoreline Change Advisory Comm., S.C. Dep't of Health and Envtl. Control, Adapting to Shoreline Change A Foundation for Improved Management and Planning in South Carolina, Final Report 122 (2010), *available at* http://scdhec.gov/administration/ library/CR-009823.pdf.
- <sup>319</sup> Barnstable, Mass., Gen. Ordinances § 704.
- <sup>320</sup> The State of R.I., Coastal Resources Management Program, As Amended, Sec. 150 (2003).
- <sup>321</sup> 9 Va. Admin. Code § 10-20-80(B).
- <sup>322</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 34.
- <sup>323</sup> See Haw. Rev. Stat. § 226-14(b)(1) (stating that one of the objectives of the Hawaii State Planning Act is to "[a]ccommodate the needs of Hawaii's people through coordination of facility systems and capital improvement priorities in consonance with state and county plans").
- <sup>324</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 60 (citing David J. Brower et al., Reducing Hurricane and Coastal Storm Hazards Through Growth Management: A Guidebook for North Carolina Coastal Localities 144-48 (1987), *available at* http://www.csc. noaa.gov/hes/docs/general\_info/Location Specific/REDUCING HURRICANE AND COASTAL STORM HAZARDS THROUGH GROWTH MANAGEMENT A GUIDEBOOK FOR NORTH CAROLINA COASTAL LOCALITIES.pdf ).
- <sup>325</sup> USACE, Civil Works, *supra* note 82. The New York City Waterfront Management Plan includes a strategy to incorporate climate change and sea-level rise projections into design standards for infrastructure in waterfront areas. The city will also create an inventory of adaptation strategies with potential applicability to the city and evaluate strategies based upon a full range of costs and benefits. NYC Plan, *supra* note 154, at 112-13.
- <sup>326</sup> Glenn, *supra* note 57, at 3.
- 327 Haw. Rev. Stat. § 173A-2.4.
- <sup>328</sup> Id. § 173A-2.5; Legacy Land Conservation Commission, DLNR, http://hawaii.gov/dlnr/dofaw/llcp/llcc (last visited Aug. 5, 2011).
- 329 Haw. Rev. Stat. § 173A-2.6.
- <sup>330</sup> Id. § 173A-5.
- <sup>331</sup> See Planning, State of Haw., DLNR, http://hawaii.gov/dlnr/dofaw/llcp/resource-land-acquisition-planning (last visited Aug. 5, 2011) (listing non-profit organizations with land acquisition programs); *Projects*, State of Haw., DLNR, http://hawaii.gov/dlnr/ dofaw/llcp/legacy-land-conservation-program-projects-new (last visited Aug. 5, 2011) (listing awards granted under the Legacy Land Conservation Program).
- <sup>332</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 63 (citing Nichols & Bruch, *supra* note 90, at 29-30).
- <sup>333</sup> NOAA, Planning Guide, *supra* note 52, at 69.
- <sup>334</sup> Id.
- <sup>335</sup> *Id.* at 70.
- <sup>336</sup> Hawaii Coastal Erosion Management Plan, DLNR, http://hawaii.gov/dlnr/occl/documents-forms/policies-plans/coemap.pdf/view (last visited Aug. 16, 2011).
- <sup>337</sup> Coastal Lands Program, DLNR, Hawaii Coastal Erosion Management Plan (COEMAP) 6 (2000), *available at* http://hawaii.gov/ dlnr/occl/documents-forms/policies-plans/coemap.pdf.
- <sup>338</sup> Fla Atl. Univ. & Nat'l Comm'n on Energy Policy, Florida's Resilient Coasts: A State Policy Framework for Adaptation to Climate Change 7 (2008), *available at* http://www.ces.fau.edu/files/projects/climate\_change/Fl\_ResilientCoast.pdf.
- <sup>339</sup> *Id.* at 35.
- <sup>340</sup> Coastal Blue Acres, Green Acres Program, http://www.state.nj.us/dep/greenacres/blue.html (last visited Aug. 5, 2011).
- <sup>341</sup> *Property Acquisition (Buyouts)*, FEMA, http://www.fema.gov/government/grant/hma/acquisition\_q\_and\_a.shtm#3 (last visited Aug. 5, 2011).
- <sup>342</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 65.
- <sup>343</sup> Property Acquisition (Buyouts), supra note 341.
- <sup>344</sup> Erosion Control Easements, OCRM, http://coastalmanagement.noaa.gov/initiatives/shoreline\_ppr\_easements.html (last visited Aug. 5, 2011).
- 345 Haw. Rev. Stat. § 198-1.
- <sup>346</sup> *Id.* § 198-2.
- <sup>347</sup> Id. § 198-3.
- <sup>348</sup> Id.
- <sup>349</sup> *Id.* § 198-1.
- <sup>350</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 70.
- <sup>351</sup> Haw. Rev. Stat. § 198-1.
- <sup>352</sup> See Projects, supra note 331.
- <sup>353</sup> Kailua Plan, *supra* note 208, at 36, 39.
- <sup>354</sup> OCRM, Coastal and Estuarine Land Conservation Program, Final Guidelines (2003), *available at* http://coastalmanagement.noaa. gov/land/media/CELCPfinal02Guidelines.pdf .

<sup>355</sup> Hawaii Coastal and Estuarine Land Conservation Plan, http://hawaii.gov/dbedt/czm/initiative/celcp/hawaii\_plan.html (last visited Aug. 5, 2011).

<sup>356</sup> Id.

- <sup>357</sup> Titus, *supra* note 289, at 50.
- <sup>358</sup> *Id.* at 14, 50.
- <sup>359</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 70.
- <sup>360</sup> Haw. Rev. Stat. § 198-1.
- <sup>361</sup> *Id.* § 198-2.
- <sup>362</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 75.
- <sup>363</sup> Haw. Rev. Stat. § 508D-1.
- <sup>364</sup> Id. § 508D-15.
- <sup>365</sup> NOAA, Planning Guide, *supra* note 52, at 62-63.
- <sup>366</sup> Thomas Ruppert, Reasonable Investment-Backed Expectations: Should Notice of Rising Seas Lead to Falling Expectations for Coastal Purchasers?, 26 J. Land Use & Envtl. L. 239, 271-74 (2011).
- <sup>367</sup> Hwang, Hazard Mitigation, *supra* note 248, at 139 (citing Schaffer v. Earl Thacker Co., 716 P.2d 163 (Haw. Ct. App. 1986)). <sup>368</sup> *Id.* at 139-40.
- <sup>369</sup> Dolan Eversole & Zoe Norcross-Nu'u, UH Sea Grant, Natural Hazard Considerations for Purchasing Coastal Real Estate in Hawai'i – A Practical Guide of Common Questions and Answers (2006), *available at* http://www.co.maui.hi.us/documents/ Planning/CZMP/realestate.pdf.
- 370 Fla. Stat. § 161.57(4).
- <sup>371</sup> Tex. Nat. Res. Code Ann. § 61.025.
- <sup>372</sup> S.C. Code Ann. § 48-39-330.
- <sup>373</sup> Ruppert, *supra* note 366, at 271.
- <sup>374</sup> Tex. Nat. Res. Code Ann. § 61.025.
- <sup>375</sup> Haw. Rev. Stat. ch. 205; *Important Agricultural Lands Update*, State of Haw. Dep't of Agric., http://hawaii.gov/hdoa/Info/ial/ important-agricultural-lands-update (last visited Aug. 5, 2011).
- <sup>376</sup> Haw. Rev. Stat. § 241-4.6; *Hawaii Incentives/Policies for Renewables & Efficiency*, Database of State Incentives for Renewables & Efficiency, http://www.dsireusa.org/incentives/index.cfm?re=1&ee=1&spv=0&st=0&srp=1&state=HI (last visited Aug. 5, 2011).
- <sup>377</sup> Haw. Rev. Stat. § 246-32.
- <sup>378</sup> *Id.* § 246-34.
- <sup>379</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 72.
- <sup>380</sup> *Id.* at 73.
- <sup>381</sup> Kailua Plan, *supra* note 208, at 36.
- <sup>382</sup> Id.
- <sup>383</sup> Hawaii Incentives/Policies, supra note 376.
- <sup>384</sup> Haw. Rev. Stat. §§ 205-41 205-52.
- <sup>385</sup> Important Agricultural, supra note 375.
- <sup>386</sup> IPCC Synthesis Report, *supra* note 7, at 61.
- <sup>387</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 75.
- <sup>388</sup> Maui Cnty. Long-Range Planning Div., Directed Growth Strategy—Transfer and Purchase of Development Rights Program, Implementation Study 6-7 (2009), *available at* http://www.co.maui.hi.us/DocumentView.aspx?DID=10491 [hereinafter Directed Growth].
- <sup>389</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 76.
- <sup>390</sup> Directed Growth, *supra* note 388.
- <sup>391</sup> *Id.* at 6.
- <sup>392</sup> Haw. Rev. Stat. § 46-161.
- <sup>393</sup> City and Cnty. of Honolulu, Haw., Rev. Ordinances § 21-5.370.
- <sup>394</sup> Maui Island Plan, *supra* note 141.
- <sup>395</sup> See Grannis, Georgetown Tool Kit, supra note 86, at 76 (citing Robert Lane, Lincoln Institute of Land Policy, Transfer of Development Rights for Balanced Development, Land Lines, March 1998, at 17-18).
- <sup>396</sup> Directed Growth, *supra* note 388, at 7-8.
- <sup>397</sup> *Id.* at 52.
- <sup>398</sup> Maui Cnty., Preliminary Draft Ordinance, Transfer of Development Rights, *available at* http://co.maui.hi.us/DocumentView. aspx?DID=10481 ; Maui Cnty., Preliminary Draft Ordinance, Purchase of Development Rights, *available at* http://co.maui.hi.us/ DocumentView.aspx?DID=10480 .
- <sup>399</sup> Grannis, Georgetown Tool Kit, *supra* note 86, at 78-79.
- <sup>400</sup> See Directed Growth, *supra* note 388, at 30-34 (citing Rick Pruetz, Beyond Takings and Givings: Saving Natural Areas, Farmland and Historic Landmarks with Transfer of Development Rights and Density Transfer Charges (2003)).

