Overview of
BIA Tribal Resilience Awards Program 2018

May 30, 2018

The presentation will be recorded and archived for those unable to attend the live session.
A link to this will be available at the ITEP website here
http://www7.nau.edu/itep/main/tcc/Training/Webinars_2018.

BIA Tribal Resilience

- Empower tribes and BIA Trust managers to achieve long-term program goals by mainstreaming considerations for **extreme events and harmful environmental trends that put BIA and tribal resources at risk.**

- Leadership engagement, delivery of data and tools, training, tribal capacity-building and federal coordination for improved **planning and management**

- The program also supports resilient **ocean and coastal planning and management**, including the Great Lakes tribes

Where we invest and why

- Planning, training and data development
  - Implementation is the program's responsibility
- Funding has supported **planning for extreme events and harmful environmental trends**
  - extreme events (e.g., flooding, droughts, wildfire),
  - toxic harmful algal blooms,
  - ocean acidification, etc.
- Planning and project design can minimize impacts, reducing the scale of emergencies, infrastructure damage, and threats to human health and well-being.

Two Solicitations Open

- Open to Federally recognized **tribes**
  - Solicitation BIA-1800-0002
  - Released 5/9, Closes 7/2/18
  - Federal Register list (1/30/2018):
    - https://www.federalregister.gov/documents/2018/01/30/2018-01907/indian-entities-recognized-and-eligible-to-receive-services-from-the-united-states-bureau-of-indian
- Open to **tribal organizations**
  - Solicitation BIA-18-0001
  - Released 5/22, Closes 7/12/18
  - Not-for-profit tribal organizations and associations and tribal colleges and universities (TCUs)
- Find both in grants.gov and also the Tribal Resilience website: https://www.bia.gov/bia/ots/tribal-resilience-program

FY2017/18 SOLICITATION RESOURCES

- **FY2017/18 Application Kit - Tribes (pdf)** - Notice of Funding (proposal requirements)
- **FY2017/18 Application Kit - Tribal Organizations and TCU's (pdf)** - Notice of Funding (proposal requirements)
- **Proposal Cover Sheet (fillable pdf)** - to aid in correct filing!
- **Original FY2017/18 Funding Announcement Letter sent to Tribal Leaders (pdf)**
- **BIA Regional Contacts (pdf)**

- Submit to resilience.funding@bia.gov
- Please limit length to 6 pages for the proposal, not including supplemental materials.

Categories of Funding
for BIA-1800-0002 <u>for Tribes</u>

- Adaptation Planning (Cat. 1-3)
  - Cat 1: Training and Workshops ($150,000 max)
  - Cat 2: Adaptation Planning ($150,000 max)
  - Cat 3: Travel Support for Adaptation Planning ($15,000 max)
- Ocean and Coastal Planning (Cat. 4-5)
  - Cat 4: Ocean and Coastal Mgmt Planning (max $150,000)
  - Cat 5: Travel Support for Ocean & Coastal ($15,000)
- Capacity Building (Cat. 6)
  - Cat 6: Capacity Building to Develop Cat 2 ($50,000 max)
- There is a limit of one award per category per tribe, not to exceed two awards per tribe (aside from travel awards- Categories 3 and 5).

Categories of Funding
for BIA-18-0001 for <u>Tribal Organizations</u>

- Adaptation Planning (Cat. 1-3)
  - Cat 1: Training and Workshops ($150,000 max)
  - Cat 2: Adaptation Planning ($150,000 max)
  - Cat 3: Travel Support for Adaptation Planning ($15,000 max)
- Ocean and Coastal Planning (Cat 4-5)
  - Cat 4: Ocean and Coastal Mgmt Planning (max $150,000)
  - Cat 5: Travel Support for Ocean & Coastal ($15,000)
- There is a limit of one award per category per organization, not to exceed two awards per organization (aside from travel awards- Categories 3 and 5).
  - Why the limits? This will allow program funds to assist more tribes

Mandatory Components for Applications

1. Cover page (see fillable pdf: https://www.bia.gov/sites/bia.gov/files/assets/bia/webteam/pdf/CoverSheetForFY17Proposals.pdf)

2. Cover letter with signature summarizing interest and leadership support

3. A non-profit charter or other documentation to verify its tribal non-governmental organization status (Mandatory Component 3 for tribal orgs)

4. A proposal describing the proposed project and associated activities
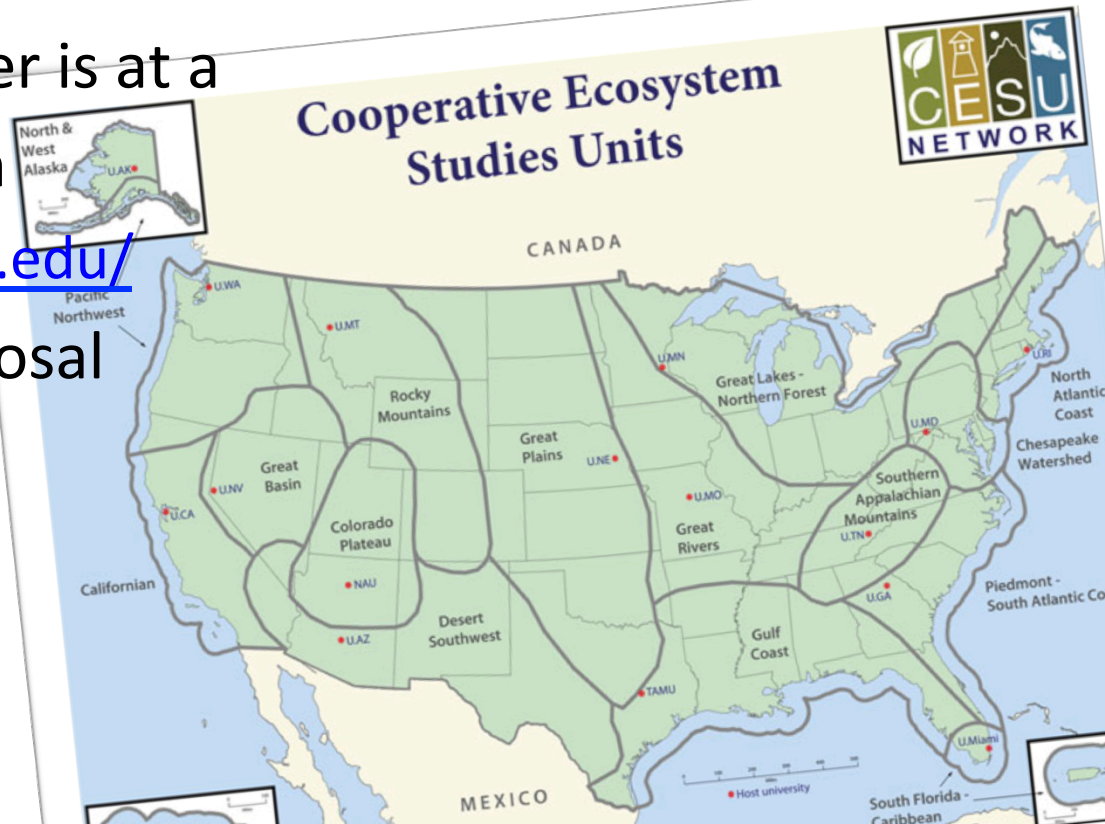
5. A detailed budget estimate

Helpful Regional Partners

- Helpful list of regional partners:
  - https://www.bia.gov/sites/bia.gov/files/assets/bia/ots/tcrp/BIAregTRPcontacts.pdf
  - CASCs, LCCs, RISAs, USDA Climate Hubs
  - BIA Tribal Resilience Program POCs
  - Tribal Resilience Liaisons at DOI Climate Adaptation Science Centers (CASCs)
    - Help access needed expertise, data and information at the DOI Climate Science Centers
    - Connect to potential partners for technical expertise (e.g., CSC, LCC, RISAs, USDA Climate Hubs, etc.) and coordinate information exchange
  - Cooperative Ecosystem Studies Units…

Cooperative Ecosystem Studies Units (CESUs)

- Want to partner with expertise at a university?

- BIA partnership in the CESU network
  - allows tribes to access to expertise at a low 17.5% indirect cost rate= more funds to support project

- How: see if your partner is at a participating institution
  - http://www.cesu.psu.edu/

- Write it into your proposal

http://www.cesu.psu.edu/

Other Helpful Resources

- BIA's Tribal Resilience Program Home Page: https://www.bia.gov/bia/ots/tribal-resilience-program

- Tribal Resilience Resource Guide: https://biamaps.doi.gov/tribalresilience/resourceguide/

- NAU's Institute for Tribal Environmental Professional's http://www7.nau.edu/itep/main/tcc/Resources/newsletters

- Tribal Climate Change Guide: http://tribalclimateguide.uoregon.edu/

- Cooperative Ecosystem Studies Units: http://www.cesu.psu.edu/

Example of Regional Fact Sheet available in
Tribal Resilience Resource Guide:
https://biamaps.doi.gov/tribalresilience/resourceguide/

SOUTHERN PLAINS REGION (SPL RO) FACT SHEET

Description of Region: The Southern Plains Region includes portions of Western Oklahoma, in addition to TX and KS, so it works closely on climate issues with the Eastern Oklahoma Region. Both BIA Regions also coordinate with the South Central Climate Center, which provides on-site tribal liaisons for Chickasaw and Choctaw Nations, and support tribes through the region with training, assessment services, data, and planning support.

Federal Links: Select the Agency Acronym for the full Agency Fact Sheet and the link to its right for a more specific regional contact or homepage. Choose *Affiliated Tribal Fact Sheets* to access a Fact Sheet for each Tribe in this Region.

BIA: Southern Plains - **Affiliated Tribal Fact Sheets**	DOT/FWHA: Southern Plains	DOE: KS OK TX
BOEM: Gulf of Mexico OCS Region	BLM: KS OK TX	FWS: Southwest
EPA: R6	FEMA: R6	HUD: Southern Plains
USDA CLIMATE HUB: Southern Plains	USDA NRCS: Locator, USDA USFS: Southern	NOAA: Region, RISA: SCIPP
CSC: South Central	LCC(s): Gulf Coastal Plains and Ozarks	

❯ Services
- Tribal Climate Resilience Program (BIA)
- Tribal Programs and Services (USDA)
- Tribal Goverment Support (EPA)
- NIDIS Tribal Engagement (Drought Portal)

❯ Products
- BIA S. Plains Region Climate Map
- BIA S. Plains Region Fact Sheet
- EPA: Climate Impacts in the Great Plains
- GIS for Tribal Resilience - Southern Plains UC17
- SPL CC Vulnerability, Adaptation & Mitigation Strategies
- SPL Regional Assessments
- Tribal Nations Theme in Climate Data Intiative

❯ Funding
- Tribal Funding by Strategy
- Other Funding Opportunities (TCCG) - Choose Geography: SE, SW and Any

❯ Tools
- CRT Tool: Guidelines for Considering Traditional Knowledges in Climate Change Initiatives
- CRT Tool: Tribal Climate Change Guide (TCCG)

❯ Training
- Tribal Climate Change Adaptation Planning

❯ Examples
- Nationwide Tribal Climate Case Studies

❯ Regional Groups
- NNCAP SW Tribal CC Network

Example of Tribal Fact Sheet available in
Tribal Resilience Resource Guide:
https://biamaps.doi.gov/tribalresilience/resourceguide/

Names (Federal Register): *Navajo Nation, Arizona, New Mexico & Utah*

Federal Links: Select the Agency Acronym (blue link) for an Agency Fact Sheet and the orange link to its right for regional contacts or homepage.
For more information, hover over a link for agency or program details before making a selection.

Tribal: Official Website (or group site)

BIA Region: Navajo Regional Fact Sheet
Navajo Regional Office

DOE: AZ

CSC: Southwest
USDA CLIMATE HUB: Southwest
EPA: R9 FEMA: R9

LCC: Southern Rockies
USDA NRCS:Locator USDA USFS: Southwestern
DOT/FWHA: Mountain West

FWS: Southwest
NOAA: Region RISA: CLIMAS
HUD: Southwest

❯ Awards
- FY11 Climate Adaptation Planning
- FY15 Management Internships
- FY15 Travel (Climate)
- FY15 Youth Engagement
- FY16 Climate Adaptation Planning
- FY16 Travel (Climate)
- BIA TCRP FY11-16 Awards Map
- More Climate Funding Options

❯ Groups
- Dine College
- Native American Fish and Wildlife Association (NAFWS)
- Navajo Technical College

❯ Documents
- Connectivity of Habitats on Navajo Nation Lands
- Considerations for Climate Change and Variability Adaptation on the Navajo Nation
- NN CC Vulnerability Assessment for Priority Wildlife Species

❯ Tools
- Tribal Drought Information for Monitoring, Assessment, and Planning (DRI MAP)
- CRT Tool: Guidelines for Considering Traditional Knowledges in Climate Change Initiatives
- CRT Tool: Tribal Climate Change Guide
- CRT Tool: Tribal Climate Change Adaptation Planning Toolkit
- Other Tribal Nations Examples

❯ Examples
- CRT Case Study: Navajo Nation: Hotter, Drier Climate Puts Sand Dunes on the Move
- ITEP TCC Profile: Dune Study Offers Clues to Climate Change
- Other Tribal Nations Examples

❯ Data & Maps
- Conservation Planning Atlas
- EPA Climate Impacts by State for: AZ
- HUC8: 15020013 - Polacca Wash Resource Links
- NN Zoo: Facts on Desert Bighorn Sheep and Other Species
- NOAA NCEI AZ State Climate Summary
- Toolkit Climate Explorer 2.0: Projected Temperature & Precip - Apache County
- Toolkit Climate Explorer 2.0: Projected Temperature & Precip - Coconino County
- Tribal Nations Geospatial Data
- Tribal Nations Map Gallery

Notable Changes to be aware of

- This year's funding program is NOT going to be distributed under the Indian Self-Determination and Education Assistance Act (ISDEAA) AKA, "638" or self-determination compacts.
- Instead they'll be awarded as federal grants through Federal Acquisitions Regulations (FAR)
- Why?
  - this is project-based grant funding, rather than an annual amount affecting a Tribe's annual base funding (in Dear Tribal Leader Letter);
  - also provides an expedited way to obligate the FY17 funds to Tribes prior to the Sept. 30, 2018 deadline.

Notable Changes

- Q: How will requirements differ from the past award processes through 638?

1. Tribal resolutions not necessary
   - Instead: cover letter summarizing interest and leadership support with signature (e.g., from Tribal Dept director, Tribal Council official, Tribal Chair)
   - Tribal resolutions will be accepted, though cover letter summarizing interest still required.

2. Lump sum disbursement as grant with quarterly and annual reporting requirements

3. Funds cannot be used as matching funds for other federal matching requirements

4. Contract support costs are only applicable to 638/compacts; continue to include indirect costs in budget

5. Ensure DUNS and SAM registration- see application kit details

Note Corrections

- The Dear Tribal Leader letters went out with some mistakes to correct.
  - The deadline for tribal proposals is July 2 (NOT June 2)
  - The announcement # in grants.gov for federally recognized tribes is BIA-1800-0002 with 7/2 deadline (BIA 18-0001 is for tribal organizations and TCUs with 7/12 deadline)

FAQs

Freq. Asked Questions:

Q: Is this announcement open to all tribes or just ocean and coastal tribes?

A: This is absolutely open to all federally-recognized tribes (and not for profit tribal organizations and TCUs). Only two categories are specific to ocean and coastal tribes.
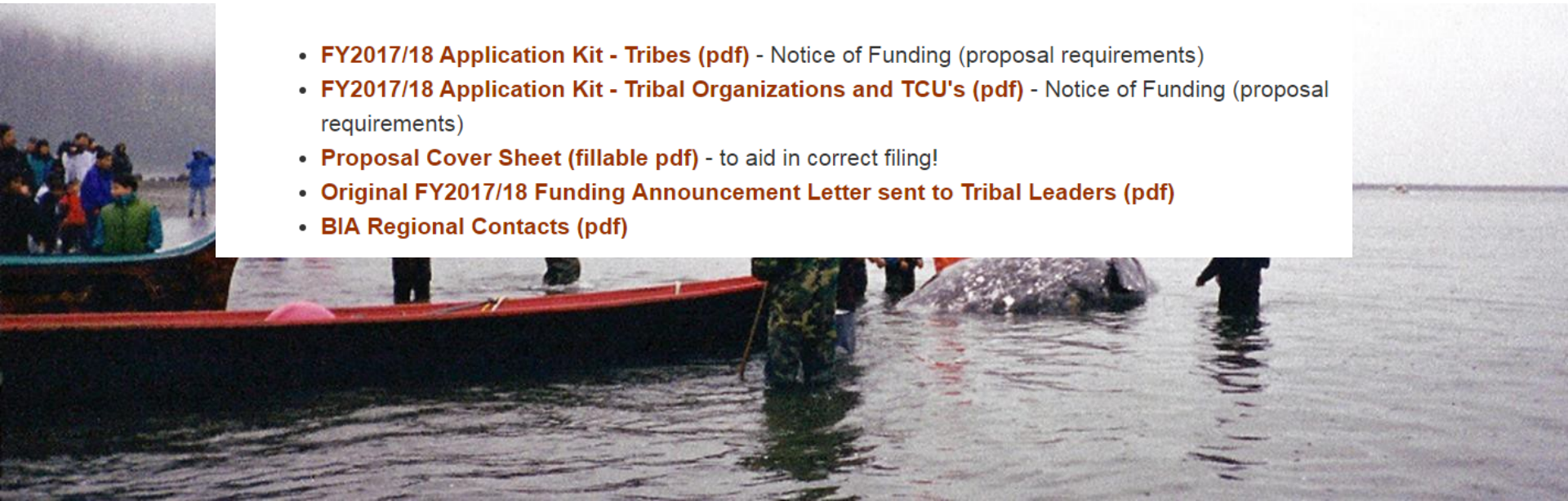
Freq. Asked Questions:

Q: Where is the announcement and application kit?

A: See the announcement on Grants.gov. Find the application kit on the BIA Tribal Resilience website under FY17/18 Solicitation Resources:

https://www.bia.gov/bia/ots/tribal-resilience-program

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Freq. Asked Questions:

Q: If a Tribe wants to submit proposals for multiple categories, are separate applications needed for each category?

A: A tribe can apply for more than one category but each needs to have a separate proposal and cover letter.

Note: If you are applying for a Category 6- specifically for tribes challenged by capacity- please do not apply for any other category except for travel (Cat. 3 or 5). That Category is meant for tribes that don't yet have capacity to apply for a larger Category like 1, 2, or 4.

Freq. Asked Questions:

Q: What about one proposal for two categories?

A: To avoid confusion and duplication of reviews, please choose one category per proposal. Separate applications are needed for each category. A tribe can receive up to two awards maximum (not including travel awards). One award per category per tribe/tribal organization. This is to allow for more awards, spreading the benefits more widely across Indian Country and Alaska Native Villages.

Freq. Asked Questions:

Q: "I want to combine all my proposals into one document, cat 2, 5, …"

A: If submitting multiple proposals, please provide separate proposals/applications that aren't dependent on each being awarded in case they are not all funded. You can't break up a project by cobbling two proposals together to exceed the $150k limit (the limit for Cat 1,2, and 5).

If the projects are integrated, then you want all the funding for it to work and it is one category. If they are related but stand-alone work then separate them as multiple proposals.

Freq. Asked Questions:

Q: Can the tribe apply for more money to continue a previously funded project?

A: Yes, as long as the project tasks are new (the government can't fund the same work twice) but there is a $ limit per project. Describe the new work you are doing.
Also, a project can have an overall cost greater than the maximum amount allowed, but the amount beyond the maximum must be covered by other sources.

Freq. Asked Questions:

Q: When would the funding get to me? What is the review process?

A: We must obligate awards from FY17 by Sept. 30, 2018. We anticipate a 30 day review and ranking process followed shortly thereafter by mailing of award letters directly from BIA Central Office.

Freq. Asked Questions:

Q: Can a category 2 proposal have travel or just cat 3 and 5? E.g., "I want to send all the tribal planning team to a workshop."

A: Yes. The question is, does the staff need that workshop only for the planning or do they need it for their program too? If they will need the workshop for their program then we suggest a stand alone travel award. Then they aren't depending on the Cat 2 award for program needs.

Also, small awards for cat 3 are almost always funded (for appropriate resilience to extreme events/harmful env. trends sessions and non-international).

Freq. Asked Questions:

Q: For travel support (or any award) what if something changes, how do I change the scope of work?

A: Things change. Contact the BIA Project Monitor named in the award letter to work out the change and get that into the grant file.

Freq. Asked Questions:

Q: Is indirect allowed?

A: Yes. Include indirect in the BIA share of the budget request. If the tribe wants to add the cost of staff time or indirect to the cost share/in-kind, that's also allowed.
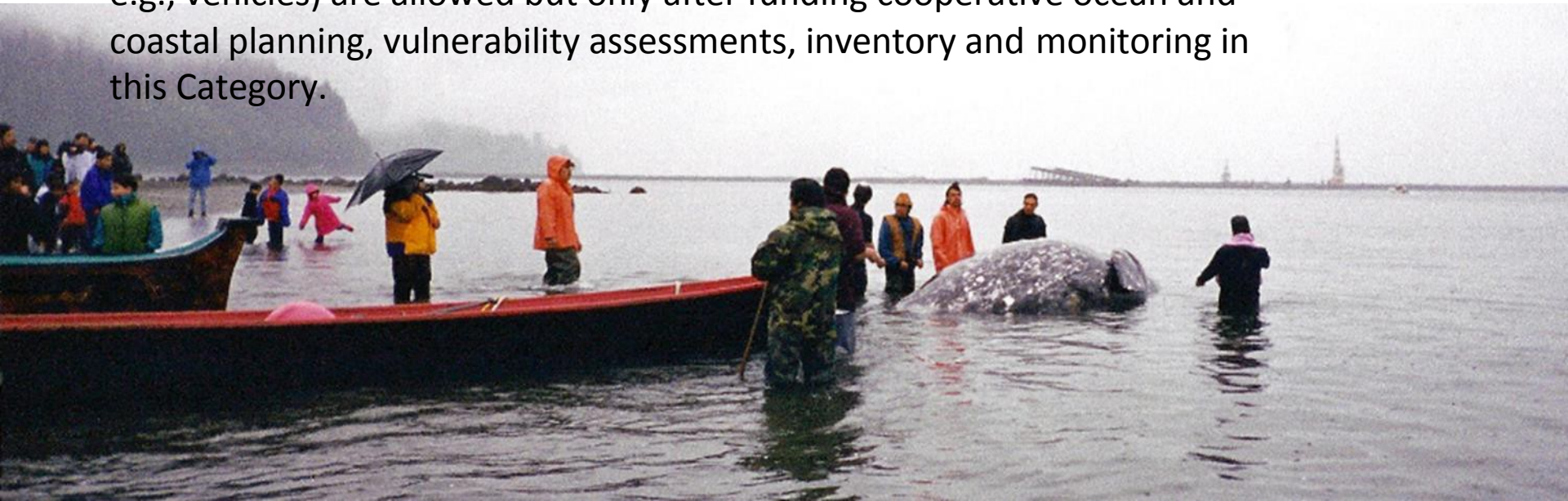
Freq. Asked Questions:

Q: My proposal is for a project, what category is that?

A: We don't' fund projects or implementation for Cat 1-3, 5 or 6. We funding planning, data and tools for managers to consider the risk from extreme events and harmful environmental trends in their projects.

For Cat 4, projects and implementation (if named in a planning document as long as does not include movement of infrastructure or large equipment- e.g., vehicles) are allowed but only after funding cooperative ocean and coastal planning, vulnerability assessments, inventory and monitoring in this Category.

Freq. Asked Questions:

Q: My proposal is for a project, what category is that?

A: We don't' fund projects in the resilience to extreme events categories (cat 1-3, 6). We funding planning, data and tools for managers to consider climate in their projects.

For Cat. 4 projects are allowed but only after funding cooperative ocean and coastal planning, vulnerability assuagements, inventory and monitoring.

Freq. Asked Questions:

Q: Disallowed costs Qs- Are fringe benefits and employment costs disallowed?

A: Fringe benefits and employment for those directly involved in the project are allowable. Fringe and salary costs for those not involved directly are not allowable. This is meant to avoid cost-shifting award funds toward base programs and to avoid skimming resources away from the project costs to positions not directly involved.

Freq. Asked Questions:

Q: Where are the criteria used to score proposals?

A: See Application Kit section E. Categories of Funding, Review Criteria and Evaluation.

Freq. Asked Questions:

Q: Why are there two solicitations?

A: We're trying to be responsive to concerns that tribal organizations representing tribes would be allowed to compete in the same review pool with those tribes. Tribal proposals will be reviewed first and receive priority.

Freq. Asked Questions:

Q: What happened to the youth categories from previous years?

A: Feel free to put components into other categories that include youth involvement in planning, training, etc. Suggest to term it "workforce development".

Freq. Asked Questions:

Q: If we are awarded, what happens if we don't finish the project in a year?

A: Things change, this happens. Just submit a no-cost extension letter request before the end of period of performance for more time, up to a year.

Freq. Asked Questions:

Q: Could we provide a 2-year workplan and period of performance as long as the request is still within the maximum amount?

A: No, but again, if the proposed work is awarded and then is stalled for justifiable reasons and more time beyond a year is needed to complete it, just <u>provide a no-cost extension request (with a brief statement of justification)</u> at least a month before the end of the period of performance. Also, if a tribe/tribal org. isn't ready to begin work right after being awarded, just <u>request a start date later in the year</u> that would run for one full year.
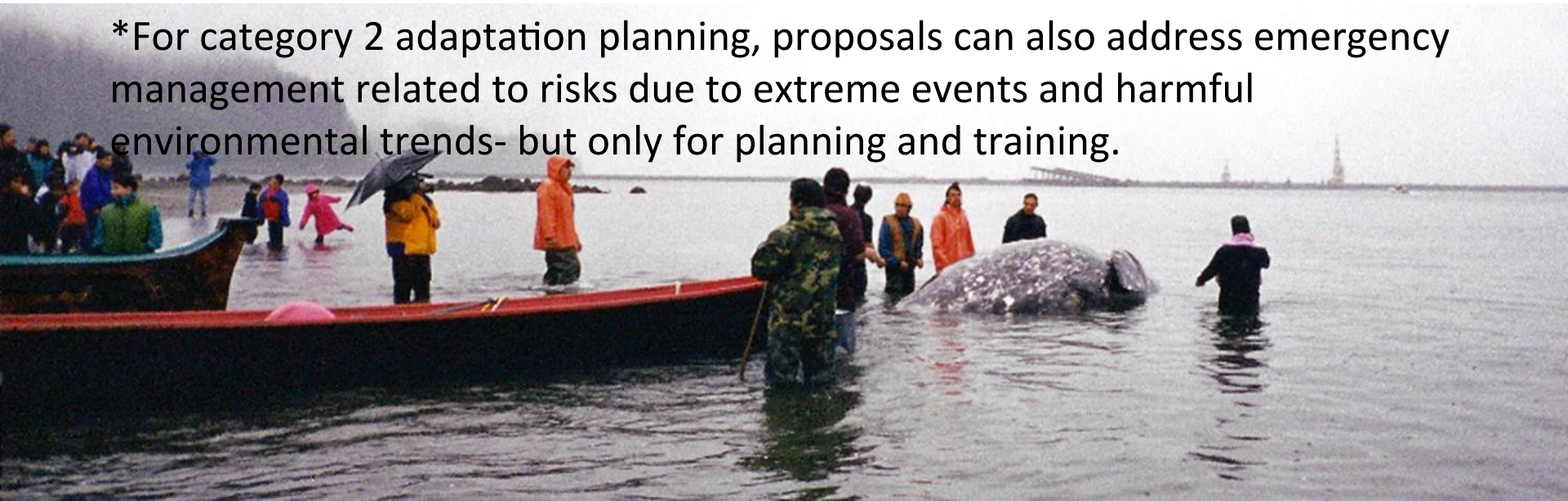
Freq. Asked Questions:

Q: Can ocean and coastal management proposals include emergency management?

A: Yes, ocean and coastal management planning can include proposals related to emergency management planning and implementation (if it is an action identified in an existing planning document- with the exception of movement of critical infrastructure or purchasing large equipment, e.g., vehicles). See examples: "development of emergency drills and exercises, etc."

*For category 2 adaptation planning, proposals can also address emergency management related to risks due to extreme events and harmful environmental trends- but only for planning and training.

Freq. Asked Questions:

Q: How do we know if are considered "ocean and coastal" under Category 4 and 5?

A: The footnote in the solicitations list 16 U.S. Code Section 1453:

"**(1)**The term "coastal zone" means the coastal waters (including the lands therein and thereunder) and the adjacent shorelands (including the waters therein and thereunder), strongly influenced by each other and in proximity to the shorelines of the several coastal states, and includes islands, transitional and intertidal areas, salt marshes, wetlands, and beaches. … The zone extends inland from the shorelines only to the extent necessary to control shorelands, the uses of which have a direct and significant impact on the coastal waters, and to control those geographical areas which are likely to be affected by or vulnerable to sea level rise. …
(3) The term "coastal waters" means (A) in the Great Lakes area, the waters within the territorial jurisdiction of the United States consisting of the Great Lakes, their connecting waters, harbors, roadsteads, and estuary-type areas such as bays, shallows, and marshes and (B) in other areas, those waters, adjacent to the shorelines, which contain a measurable quantity or percentage of sea water, including, but not limited to, sounds, bays, lagoons, bayous, ponds, and estuaries."
The language above is sufficient but for the full language: https://www.law.cornell.edu/uscode/text/16/1453

Freq. Asked Questions:

Q: If we are going to work with an academic partner in the CESU network, how should we include that in the application?

A: Include it in 1) the fillable cover sheet (as a partner), 2) the proposal, and the 3) the budget. The fillable cover sheet is available online (link also in solicitation application)
https://www.bia.gov/sites/bia.gov/files/assets/bia/webteam/pdf/CoverSheetForFY17Proposals.pdf .
If you have a letter(s) of support, include it in supplemental materials in an appendix.

Thank you/Ahe'hee!

For more programmatic questions, please email rachael.novak@bia.gov;
For questions on the application process, please contact jo.metcalfe@bia.gov.

The presentation will be recorded and archived for those unable to attend the live session.
A link to this will be available at the ITEP website here
http://www7.nau.edu/itep/main/tcc/Training/Webinars_2018.